VISION STATEMENT

* VISION
To be a leader among the influential national and international think tanks engaged in the activities of undertaking public policy research and education for moulding public opinion.

* OBJECTIVES
The main objectives of the Centre for Policy Research are:
1. to promote and conduct research in matters pertaining to
   a) developing substantive policy options;
   b) building appropriate theoretical frameworks to guide policy;
   c) forecasting future scenarios through rigorous policy analyses;
   d) building a knowledge base in all the disciplines relevant to policy formulation;
2. to plan, promote and provide for education and training in policy planning and management areas, and to organise and facilitate Conferences, Seminars, Study Courses, Lectures and similar activities for the purpose;
3. to provide advisory services to Government, public bodies, private sector or any other institutions including international agencies on matters having a bearing on performance, optimum use of national resources for social and economic betterment;
4. to disseminate information on policy issues and knowhow on policy making and related areas by undertaking and providing for the publication of journals, reports, pamphlets and other literature and research papers and books;
5. to engage the public sphere in policy debates; produce policy briefs to liaise with legislatures; and
6. to create a community of researchers.

* LIST OF ACTIVITIES/SUBJECTS PURSUED
1. Political Issues and Governance;
2. International Relations and Foreign Policy/Diplomacy;
3. Economic Policy Issues, National, Bilateral, Regional, and Global;
4. Security - Internal and External;
5. Public Services Delivery Policies;
6. Institutional Design;
7. Civil Society;
8. Regulation of Capitalism;
10. Constitutional and Legal Theory;
11. Institutional and Administrative Capacity Building for delivering Macro- Management of Reforms;
13. Dialogues with Strategic Partner Countries for Enhancing Engagement with Focus on South Asian and other Asian Countries;
14. Sectoral Policies for Infrastructural Development (Energy including Electric Power, Telecommunications, Roads, Ports, Airports etc.); and
15. Regional Development among States with Special Reference to Northeast India and Kashmir.

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# CPR GOVERNING BOARD

(As on 31 March 2013)

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<td>Prof. K C Sivaramakrishnan, IAS (Retd.)</td>
<td>Chairman</td>
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<tr>
<td></td>
<td>Former Secretary, Government of India and Senior Advisor, World Bank 24, Aradhana Enclave Sector 13, R K Puram New Delhi – 110 066</td>
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<td>2</td>
<td>Dr. Sanjaya Baru</td>
<td>Member</td>
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<td></td>
<td>Director for Geo-economics and Strategy The International Institute for Strategic Studies D-44, 3rd Floor, Panchsheel Enclave New Delhi – 110 017</td>
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<td>3</td>
<td>Dr. (Mrs) Sneh Bhargava</td>
<td>Member</td>
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<td></td>
<td>Former Director, All India Institute of Medical Sciences A-103 New Friends Colony, New Delhi - 110 065</td>
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<td>4</td>
<td>Mr. Subodh Bhargava</td>
<td>Member</td>
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<td>Chairman, VSNL</td>
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<td>Gurgaon – 122 001</td>
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<td>5</td>
<td>Mr. Eric Gonsalves</td>
<td>Member</td>
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<td>Former Secretary, Government of India C-52, IFS Coop Group Housing Society Mayur Vihar, Phase – I, Delhi – 110 091</td>
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<td>6</td>
<td>Dr. (Ms.) Meenakshi Gopinath</td>
<td>Member</td>
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<td>7</td>
<td>Prof. P V Indiresan, Padma Bhushan</td>
<td>Member (since deceased)</td>
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<td></td>
<td>Former Director, IIT Madras</td>
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<td>B-57, Hillview Apartments, Vasant Vihar</td>
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<td>New Delhi – 110 057</td>
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Chairman  
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15. Dr Pratap Bhanu Mehta  
President and Chief Executive  
Centre for Policy Research  
Dharma Marg, Chanakyapuri  
New Delhi - 110 021
ANNUAL REPORT 2012-13

CPR EXECUTIVE COMMITTEE
(As on 31 March 2013)

1. Prof. K.C. Sivaramakrishnan, IAS (Retd.)  
   Chairman
   Former Secretary to Government of India and
   Senior Advisor, World Bank
   24, Aradhana Enclave
   Sector – 13, R. K. Puram
   New Delhi – 110 066

2. Mr. Eric Gonsalves  
   Member
   Former Secretary to Government of India
   C-52, IFS Coop. Group Housing Society
   Mayur Vihar, Phase – I
   New Delhi – 110 091

3. Prof. P.V. Indiresan, Padma Bhushan  
   Member
   (Since deceased)
   Former Director, IIT, Madras
   B-57, Hillview Apartments
   Vasant Vihar
   New Delhi – 110 057

4. Dr. Pratap Bhanu Mehta  
   Member Secretary
   President and Chief Executive
   Centre for Policy Research
   Dharma Marg, Chanakyapuri
   New Delhi – 110 021
It gives me great pleasure to introduce yet another successful year at the Centre for Policy Research. The Centre, despite some challenges, continued to consolidate and carve out new areas of research. I am pleased to be able to report that the various thematic clusters that define CPR’s research are beginning to come together and acquire something of an identity. Accordingly, this year, my Report will present the highlights of CPR’s work thematically, under the various cluster subheadings. We hope this will give you a better sense of CPR’s intellectual activities. The activities of individual faculty members will, as usual, give you specific details of CPR’s output during the year. I am pleased to be able to say that CPR continued its tradition of a prodigious range of publications in different genres: books, articles in learned journals, articles in somewhat less learned journals, reports, articles in books etc. Our tradition of policy engagement and contribution to public debate continued in full measure.

Before I introduce you to the substance of CPR’s work, a couple of institutional points might be appropriate. CPR is fortunate enough to receive major grants from a variety of supporters: the Indian Council of Social Science Research, various government departments, particularly the Ministry of Urban Development, IDRC, Canada and especially the Think Tank Initiative, The Hewlett Foundation, The Bill and Melinda Gates Foundation (full list at the end of the Report). These grants are a testimony to our credibility and the productivity of our faculty. We are deeply grateful to our supporters for their continued faith in us. I would also like to make special mention of Mr. Nimesh Kampani, whose personal generosity has been a great source of strength to CPR as it aims to enhance its corpus.

The second set of acknowledgments is due to all those associated with CPR in different capacities. We are fortunate to have an exceptionally independent Board that has always pushed us to do better and guarded our independence. The Executive Committee, has, as always, gone beyond the call of duty to ensure that our governance practices remain credible. CPR has exceptionally dedicated staff. Mr. L. Ravi, our Chief, Administrative Services, has led them with extraordinary good sense and acumen. CPR would not be the place it is without them. But most heartening has been the contribution of more than three dozen young researchers, who in various capacities have made CPR fun, vibrant and intellectually alive. Many of our young staff are major scholars of the future and we are grateful for the energy and vitality they have brought to the system.
It is with great sadness that I record the one irreparable loss that CPR recently underwent. Dr. P.V. Indiresan, long standing member of the CPR Board and executive committee is no longer with us. He was an exemplary scholar, institution builder and exceptional human being. His contribution to CPR cannot be measured easily. Suffice it to say, he stood for the best that the academic world has to offer and prodded us in that direction. His sagacity will be deeply missed.

In terms of substantive work the highlights of what CPR did, are as follows, arranged by broad issue clusters.

**THEMATIC CLUSTERS**

1. **Economic Policy Analysis**

   In the last year, CPR produced a fair bit of work in the area of economic policy analysis. Economists at CPR are currently working on several projects that are interdisciplinary in nature. These projects include critiques of economic policy and policy recommendations. If CPR’s work in this area had a distinctive focus, it could be described as follows. CPR’s work has focussed largely on areas of service delivery and areas at the intersection of economics and institutions.

   CPR’s work in this area has many levels. In terms of public intervention CPR economists have had a substantial presence. Bibek Debroy has written on virtually every aspect of economic policy making in India; Partha Mukhopadhyay has written on energy and infrastructure issues, and with Rajiv Kumar’s arrival CPR is expected to have a strong presence in the area of macro economics.

   The major empirical study of the year was Jishnu Das’s study on health markets in India. This is the largest ever study done of its kind and will set new benchmarks in the field. Many of the papers from this study have been accepted for publication in top journals including *QJE* (*Quarterly Journal of Economics*—the highest ranking journal) and *Lancet*. The team recently completed fieldwork in 19 major states for his project on Medical Advice, Quality and Availability in Rural India (MAQARI) and also completed a 3-year indepth study of health care in one state – Madhya Pradesh. The data collected provide the first India-wide estimates of availability and quality of health care at the village level. The all-India research shows that access to care in India is higher than in many OECD countries. Yet, we know little about the quality of care that patients receive when they enter clinics. The data collected by his team in Madhya Pradesh yield a
detailed understanding of the functioning of health markets, characterised by multiple health care providers in every village. His team is currently in the process of analysing the data and the study will have several implications for health policy in India. Initial research from the MAQARI project sheds light on the functioning of service delivery markets and opens up paths to better accountability and delivery of health care in India, and also helps pinpoint the problems these markets are currently unable to solve.

CPR faculty were amongst the earliest to publish papers in India on Cash Transfers. This year Partha Mukhopadhyay, in addition to his urban work, continued to contribute to the debate on the cash transfer scheme, critically revisiting some of his own conclusions in the light of new empirical data. He critically analysed the Direct Benefit Transfer (DBT) scheme rolled out by the Central Government in the last financial year in a working paper. He found that the scope of problems that can be addressed by DBT was fairly limited, and suggested rethinking the scheme in terms of traceability instead of targeting. He also co-authored a paper with Aditi Gandhi, a Research Associate at CPR, on how the Indian economy has changed since Independence. His paper found that the Indian economy is now private-led, far less dependent on agriculture and is much more integrated with the international economy. Continuing on the theme of assessing social sector schemes, Bibek Debroy produced a book assessing Gujarat’s social sector and infrastructural performance. The book concluded that Gujarat had done very well in infrastructure. While it had not historically done well in the social sector, the state is beginning to see a dramatic turnaround. Ambrish Dongre produced a fine grained econometric analysis of the Janani Suraksha Scheme. Shyalashri Shankar (along with co authors, Raghav Ghaia and Raghbendra Jha), finished a book that is the first survey based comparative assessment of NREGA. The book will be published by Oxford University Press.

CPR faculty were very much in the Index game as well, as it were. Bibek Debroy participated in the ranking of States Index and the Property Rights Index. He also contributed several chapters in edited volumes and journal articles on almost every significant aspect of economic policy from agriculture to health. Pratap Bhanu Mehta’s paper on Foreign Affairs, How India Stumbled was one of the most widely cited pieces of the year trying to look at the determinants of India’s slowdown.

Michael Walton co-authored a paper on the sources of wealth accumulation by India’s billionaires in which he argues that an oligarchic business structure and a corruptible state may lead to propagation of inequality and create distortions that hurt the growth process, which can be avoided with effective regulation of capitalism. Which path India follows will have a powerful impact on growth, inequality and the nature of the state.
CPR faculty were very active in the public sphere in this area contributing more than a hundred articles in the field. The seminar space was lively. These included a panel discussion on “India and the new Global Development Order”, jointly organised with the Centre for Global Development, India Initiative, which was chaired by Mr. Jairam Ramesh, Union Rural Development Minister, Government of India, and a discussion on “Are the BRICS Losing their Way in the Global Economy?”, chaired by Dr. Raghuram Rajan, Chief Economic Advisor to the Government of India. CPR also participated in a India-Brazil-South Africa project on comparative development.

Clearly CPR was well served in this area particularly in examining the intellectual foundations of service delivery. CPR produced a major study on almost every important social sector scheme: NREGA, DBH, JSY, NRHM. And one feature of its engagement this year was its greater engagement at the state level.

However, there is no denying the fact that CPR is not at its strongest in conventional areas like trade, macroeconomics and finance. However the appointment of Rajiv Kumar has introduced new energy in this area. There are several projects whose ground work has already been laid. These should yield substantial results in the coming year. These projects include a) the economics of regional integration and b) a research unit on monetary policy.

II. Environmental Law and Governance

Climate and Energy

Reflecting the growing evidence of national and sub-national actions on climate change, and the ongoing efforts to negotiate a renewed global treaty, CPR’s work on climate and energy has focused on the “multi-level governance” of climate change. This work examines climate action at different scales, and their linkage with the international climate regime.

One component of this work examines State Action Plans on Climate Change in India based on field visits to a cross-section of states and document reviews. Policy work at the national level includes contributions to the chapter on environment and climate for the Twelfth Five Year Plan and development of a policy framework for climate change organized around the idea of ‘co-benefits’, the latter jointly developed by Dr. Navroz Dubash with colleagues at other institutes, and recently published in the Economic and Political Weekly.
CPR also continues and expands its tradition of close engagement with global climate debates. Ambassador Saran, over the year, was involved in conferences as well as informal dialogues on climate change and energy. He penned a number of opinion pieces on climate change, such as a much discussed article on the climatic and environmental consequences of widening Arctic exploration and India’s role in this geo-political development. Dr. Lavanya Rajamani has consulted with the UN climate change secretariat and her body of work over the last year includes collaborative work on international aviation in the European Emissions Trading Scheme as well as papers examining differentiation in the climate regime. She is co-authoring a comprehensive book on international climate change law and is also a rapporteur for the International Law Association Committee on the Legal Principles Relating to Climate Change. Dr. Dubash has written two papers reflecting on the role and evolution of Indian climate politics in the global context. In addition, he has served as a Lead Author and Member of the Core Writing Team for the IPCC Fifth Assessment Report.

Looking to next year, the Climate Initiative plans to hold a major international workshop on multi-level governance, deepen our work on state plans, expand our engagement in domestic debates over energy security, and participate actively in national debates as well as global processes such as the UN negotiations and the IPCC.

National Environmental Law and Regulation

Besides the extensive work on climate and energy related issues, faculty members and researchers at CPR are also involved in research on national environmental regulation and law. In the area of water regulation, Mr. Ramaswamy R. Iyer and Dr. Phillipe Cullet continued their contribution to the scholarship through several journal articles and opinion pieces in the mainstream media on the right to water, drinking water regulation, and management of ground water. Mr. Iyer chaired the sub-group on a National Water Framework Law set up by the Planning Commission’s Working Group on Water Governance for the Twelfth Plan. Dr. Cullet was a member of this group and he also chaired a group on groundwater law. Dr. Dubash was a member of the Working Group on Water Regulation for the same process.

Given the lack of contemporary academic literature on Indian environmental law as it has grown over decades of judicial and legislative actions, Shibani Ghosh is coordinating an ICSSR-funded project commissioning papers on rules and principles of Indian environmental law. She is also closely following the judgments delivered by the National Green Tribunal and its contribution to the development of environmental law.
The Namati-CPR Environment Justice Program has been engaged in a range of research activities particularly looking at coastal governance, and compliance of regulatory conditions by industries. The team has undertaken a detailed study of the institutional structures under the Coastal Regulation Zone (CRZ) Notification. It has also been involved in community-led research to collect and correlate evidence on the compliance of environmental conditions laid out in the CRZ approval to the Waterfront Development Project (WFDP), one of the largest infrastructure, port and coal handling facilities in Kutch, Gujarat. To propose a possible tool to carry out cumulative impact assessment in a non-technicalised and decentralised manner, a study is being carried out to field test and present Participatory Community Mapping as an option.

As part of its research work on mega-cities, the Urbanisation team including Mr. KC Sivaramakrishnan and Dr. Partha Mukhopadhyay, has initiated work on energy, environment and ecology in cities. The team has commissioned an analysis of data of the last ten years on air quality in metropolitan regions.

International Environmental Governance

CPR contributed a short publication as input to the Rio + 20 process in mid-2012, and also continued to develop its earlier work on global energy governance. In addition, in partnership with the India China Institute (ICI) in New School New York, CPR was involved in a two-day international conference on The Environment in China and India: Histories and Innovations in New York as part of a fellowship programme focused on Social Innovation for Sustainable Environments.

III. Urbanisation

The progress made in regard to the different items during the year is briefly described below:

1. SEZ

This study, which involves a network of researchers, has two main research themes. The first concerns the establishment of SEZs, particularly the nature and composition of movements that arise in opposition to specific SEZs, and the responses of state agencies and other elements of the wider political system such as political parties, elected politicians, and non-governmental organizations. The second centres upon how SEZs will be governed, their links to wider processes of urban restructuring, and the uncertainties concerning the relationship between SEZs and the many overlapping jurisdictions within
which they are situated. This project is conducted in association with the Centre de Sciences Humaines (CSH, Delhi), who are administering the project and Hunter College (New York) and is supported by the Ford Foundation, Delhi. The results of the study are to be shortly published by the Oxford University Press (OUP) as an edited volume. Within CPR, Dr. Partha Mukhopadhyay and Kanhu Pradhan have been associated with the study.

2. **SUBURBIN**

The spatial pattern of urbanization in India dealing with the areas outside the metropolitan shadow, their demographic nature and the political and socio-economic issues are the subjects of this important study. This is an interdisciplinary research project involving researchers from multiple institutions. The Centre for Policy Research along with other institutions like the Centre de Sciences Humaines, Indira Gandhi Institute for Development Research, the Institut Français de Pondicherry, the Jawaharlal Nehru University, the School of Planning and Architecture, New Delhi, University of Burdwan are involved in this work. The study covers several sites of inquiry such as Kullu and Shamsi in Himachal Pradesh, National Capital Region towns like Dharuhera, Phulpur and Kushinagar in Uttar Pradesh, Pasighat in Arunachal Pradesh, Singur, Memari and Barjora in West Bengal, the Udupi region, Salem region towns of Tiruchengode and Namakkal and the leather cluster of Vellore including the towns of Ranipettai, Melvisharam and Vaniyambadi in Tamil Nadu. An overview of the work undertaken is contained in the Article “Subaltern Urbanization in India” which appeared in the *Economic and Political Weekly* in July 2012. Dr Partha Mukhopadhyay and Kanhu Pradhan are the CPR personnel involved in this work. Funding has been provided by the French National Research Agency (ANR).

3. **Migration (SHRAMIC)**

This is a new multi year research project seeking to understand the migration flows emerging from field studies and reconcile them with the migration information as revealed in national sample surveys. The study involves extensive engagement with civil society organisations as well as a construction of panel data of around 3000 households based on a primary survey. It is funded by the Sir Dorabji Tata Trust. Kanhu Pradhan is handling the project for the present on behalf of CPR. This is also a multi-institutional effort involving the Indira Gandhi Institute for Development Research and the National Institute for Urban Affairs apart from the CPR. At this point, Dr Partha Mukhopadhyay and Kanhu Pradhan are involved in this work at CPR.
4. Citizen and State in Urban India

This study looks at different legal rights that various citizens/groups have, and the varying capacities to use those rights to make claims on the city. To what extent are these rights/capacities bundled by class, identity and/or settlement pattern? To what extent does the practice of these rights have to do with the particular ways in which a community engages with local authorities? To what extent does claim-making circumvent or even subvert formal avenues of representation and to what degree is the uneven responsiveness of the state a function of problems of state capacity or of political logics? It builds on an earlier multi-institutional mixed qualitative and quantitative study based on four areas, two in Delhi and two in NOIDA, which was funded by the Economic and Social Research Council, UK, which involved Michael Walton, Aditi Gandhi and Partha Mukhopadhyay from CPR in addition to researchers in other institutions. This study has been recently initiated with funding support from ICSSR. Patrick Heller, Partha Mukhopadhyay Sahana Sheikh, Subhadra Banda and Varsha Bhaik are involved in this study from CPR.

5. Political Economy of Cities

This is an analytical study that examines the applicability of analytical frameworks such as urban regime theory, growth machine, etc to Indian cities, beginning with Bengaluru, Delhi and Mumbai and develop a robust analytical framework that reflects the existing political economy of these cities. Partha Mukhopadhyay, Patrick Heller and Michael Walton are engaged in this analytical work.

6. Governance of Megacity Regions

A major study completed during the year under review relates to the governance of megacity regions. This research project funded by the Ministry of Urban Development has been engaged in a comparative study of the governance framework in the five metropolitan regions of Mumbai, Bengaluru, Hyderabad, Chennai and Kolkata. A national level workshop was held in December 2012 in Delhi and an international workshop in Mumbai on 4 and 5 February 2013. Prof. K.C. Sivaramakrishnan along with Dr. Partha Mukhopadhyay, Senior Fellow and other researchers of the cluster were actively engaged in this work.

7. Urban Sanitation

This is a multi-year research project to study the strengths and weaknesses in
management and institutional structures of large cities to deliver urban services including municipal waste management and drinking water and sanitation and the requirements needed in re-scaling the governance frameworks for improved service delivery in urban areas. It involves a review of the urban sanitation programmes and projects taken up and examined, an appropriate framework of alternative technology, service delivery, models and governance. This project will also study the experiences around the mega flagship service improvement support programmes and policies of a variety of stakeholders including central, state and local governments, local communities, poor households, service providers and regulators. This study funded by the Bill and Melinda Gates Foundation is led by Shubhagato Dasgupta.

8. The Indian Middle Class

The project tries to unpack the Indian middle class, which is largely in the urban areas, on a variety of aspects, inter alia, its size, connection to the global economy, its economic and sociological character and political identity, its public-private consumption mix and relationship to government. It will be based on a network of researchers co-ordinated by CPR. This is funded by the ICSSR and is led by Pratap Mehta.

Within the broad programme of the cluster a few more areas of work are below:

(a) Informal City

This is an ongoing research programme on the nature of the informal sector in urban India and its role in enhancing the functionality of the city’s economy. This involves quantitative analyses and case studies. One of the ongoing activities in this area is a study on the urban villages of Delhi by Arundhati Maiti.

(b) Comparing Urbanization in India and China

The initial work summarized in “Beginning a Conversation on Chinese Urbanization” focuses on the relationship between formal and informal sectors in China and the nature of Chinese migration.

(c) City and Climate Change

Spurred in part by the Climate Initiative in CPR, the relationship of cities and climate change in the Indian context remains an area of research in the urbanization focus area.
IV. International Relations and Security

During the year of 2012-2013, the Centre for Policy Research remained committed to its goals of fostering in-depth, multidisciplinary research of high quality in the field of international relations, enhancing the capacity of international relations scholarship in India, and meaningfully contributing to the national debates on various issues regarding national security and foreign policy. Through a team of eminent experts, CPR has been successful in meeting these objectives and setting the standard for other research institutes in South Asia. Over the year, CPR has been able to produce excellent academic research as well as sensible policy recommendations, has collaborated with international institutions to further intellectual activities in India, provided platforms for young scholars within and without CPR to develop their skills and seek new opportunities, and improved the quality of national discourse.

Research Team

Centre for Policy Research boasts of five permanent faculty members working in the field of International Relations – Professor Brahma Chellaney, Professor Bharat Karnad, Dr. Nimmi Kurian, Dr. Srinath Raghavan and Ambassador Shyam Saran. Additionally, the centre regularly hosts visiting experts from all over the world. The centre also fosters interdisciplinary research with scholars from other fields regularly contributing to the field of International Relations.

Apart from senior scholars, CPR has been creating space for young scholars to be involved in larger projects as well as to pursue their own research. Currently the International Relations research at the centre is supported by two exceptional Research Fellows – Dr. Geetanjali Chopra, who has received her doctorate from Jawaharlal Nehru University and Pallavi Raghavan, who has joined us recently after finishing her PhD from the University of Cambridge. Along with the CPR research projects, both fellows are also working to publish their doctoral theses as books. The research at CPR is also supported by a bright scholar Sandeep Bhardwaj, who has received his Master’s degree from the University of Chicago. The centre also hosted Cody M Poplin, a graduate of the University of North Carolina, who joined the centre for a year as a Luce Scholar.

Additionally, the centre also mentored three students from International Relations departments of prestigious universities - Pallavi Gulati, who is pursuing her Masters in International Relations from the University of Denver, Malavika Krishnan from the University of Saint Andrews, Scotland and Vinayak Krishnan from Delhi University.
Research Activities

During the year the CPR faculty engaged in research projects on a variety of topics, but especially focussing on two broad themes – examining India’s geostrategic position in the South Asian region and within a global context, both from the prism of contemporary developments and from a historical perspective; and situating Indian aspirations within the narrative of emerging global governance order. Following is an approximate graphic representation of the centre’s research focus in the field of International Relations during the last year:

![CPR’s Research Focus in International Relations](image)

During the year, the CPR faculty pursued research through collaborative projects and individual research. Professor Bharat Karnad completed his book titled *India’s Rise: Why it’s not a Great Power (Yet)*, which is an assessment of India’s hard power capabilities. The book is slated to be published by Potomac Books in September this year. Dr. Nimmi Kurian is currently finishing her book, *India China Borderlands: Conversations Beyond the Centre*, on the Sino-Indian border issues. It is expected to be published this year. Dr. Srinath Raghavan completed his book – *1971: A Global History of the Creation of Bangladesh* – scheduled to be published in November 2013 by Harvard University Press. Dr. Raghavan also edited a volume of papers written by the eminent diplomatic historian Sarvepalli Gopal entitled *Imperialists, Nationalists, Democrats: The Collected Essays* which was published earlier this year. He is currently working on his next book project on India’s role in the Second World War, which is expected to be completed next year.
The CPR International Relations faculty contributed close to twenty research papers and chapters in various peer-reviewed journals and edited volumes, on a variety of topics, over the year. For instance, Dr. Pratap Bhanu Mehta wrote a paper entitled “The Indian Perspective: Europe – A Continent without a Strategy” in a joint publication by the Atlantic Council and the Royal United Services Institute – Europe’s Strategic Future: Implications of the Eurozone Crisis. He also wrote a paper in Foreign Affairs, with the title “How India Stumbled,” examining India’s failure to become a great power. Dr. Sanjaya Baru contributed a chapter in the upcoming Oxford University Press book entitled India’s Foreign Policy: A Reader, edited by Kanti Bajpai and Harsh V Pant. Dr. Srinath Raghavan contributed a chapter on South Asian Stability in Crux of Asia: China, India and the Emerging Global Order, edited by Ashley Tellis and Sean Mirski. Professor Bharat Karnad’s chapter on Indian nuclear strategy was published in India’s National Security: A Reader, edited by Kanti Bajpai and Harsh V Pant. Dr. Nimmi Kurian wrote a chapter on China’s water policy in A Compendium of Water Conflicts in Northeast India edited by Partha Das and KJ Joy.

Additionally, CPR completed its collaborative project with the Centre on International Cooperation, New York University to publish an edited volume on the Indian approach to multilateral institutions, entitled Shaping the Emerging World Order: India and Multilateralism. The volume had contributions from twenty-two leading experts in the field, of which six papers were supplied by the CPR team on a wide variety of subjects ranging from the Indian view on multilateralism and regional power balance to the Indian role in climate negotiations and Internet governance deliberations.

The centre has also undertaken a collaborative project with the Oxford University Press to produce an edited volume entitled Oxford Handbook on Indian Foreign Policy. This volume is planned to carry contributions from over fifty leading experts in the field, providing a comprehensive analysis of Indian foreign policy.

The two Research Fellows – Dr. Geetanjali Chopra and Dr. Pallavi Raghavan – are also working to convert their theses into books. Dr. Chopra’s book, which is slated to be published by Ashgate next year, will deal with the role of International Non-Governmental Organizations in the Peace-building process. Dr. Raghavan’s thesis focuses on India-Pakistan Relations during the period of 1947-1957.

Parallel to it, faculty members working in other research field also contributed to the International Relations scholarship of the centre through cross-disciplinary research, approaching the issues of world politics from their own perspectives. For instance, Dr.
Navroz K Dubash, an expert on environmental regulation, authored several articles and chapters on global environmental governance and international climate negotiations. Dr. Shylashri Shankar, a constitutional scholar, contributed a chapter on constitutional borrowing within South Asia to an edited volume published by Oxford University Press.

Policy Recommendation and Outreach

In addition to academic research, CPR is committed to contribute pragmatic policy recommendations to the national discourse. The centre faculty pursues this objective through a variety of mediums from public lectures, television appearances and newspaper articles to organizing conferences to provide a forum for policy-makers and scholars to interact.

Ambassador Shyam Saran, one of the most eminent commentators in Indian public discourse, continued to weigh in on a variety of topics through his speeches, writings and institutional involvements. Along with his position in CPR and his chairmanship of Research and Information System for Developing Countries (RIS), his positions in various governmental and non-governmental organizations – such as chairmanship of the National Security Advisory Board, his co-chairmanship on the Indian side on the India-Asean Eminent Persons Group, his chancellorship of the Garhwal Central University – afford him many opportunities to shape the national debates and policies across India.

In March 2013, CPR, in collaboration with Brookings Institution and the China Institute of Contemporary International Relations (CICIR), hosted Track-II Trialogue between India, USA and China at Taj Mahal Hotel, New Delhi. The conference was the third in a series of meetings that had been initiated in 2012.

In April 2012, a CPR delegation participated in a Track-II dialogue held in Dhaka, which was organized by Bangladesh Unnayan Parsh idol, Institute of Microfinance and Palli Karma-Sahayak Foundation. The dialogue was a sequel to a similar initiative undertaken by CPR in 2011 in New Delhi. The dialogue consisted of in-depth deliberations on cooperation between India and Bangladesh on the issues of economics, poverty alleviation, water management and climate change. Proceedings of the dialogue were published by CPR in September 2012.

In October 2012, CPR hosted a one-day brainstorming session with the National Intelligence Council and Atlantic Council, regarding the US National Intelligence Estimate. The centre also hosted several other interactions and deliberations, between international academics and practitioners.
CPR faculty participated in many such initiatives across the globe in their individual capacities or as part of CPR delegations. Most notable was the participation of Ambassador Shyam Saran in the ASEAN-India Eminent Persons Group, which was established in October 2010 to take stock of the 20 years of ASEAN-India cooperation and chart future direction of ASEAN-India Dialogue Relations. The Group’s final report was published on 1 November 2012 and presented to the leaders of ASEAN and India.

Dr. Sanjaya Baru participated in the Shangri-La Dialogue, a “Track One” intergovernmental security development forum held annually. He was also the chairperson for the International Institute for Strategic Studies on The Geo-economics of Resources and Conflict in Africa. Dr. Baru has continued to further cross-disciplinary research and policy fields of economics and international relations through his writings and participation in many such events.

The centre also participates in the policy-making process by directly advising the Government of India on several issues of national security and foreign policy through various advisory bodies. For instance, Ambassador Shyam Saran and Dr. Pratap Bhanu Mehta were members of the National Security Advisory Board during the year. In the coming year, while Dr. Mehta’s tenure will end, another CPR faculty member, Dr. Srinath Raghavan will be joining the board.

During the year, the centre’s faculty members wrote 149 editorials in various newspapers in India and abroad on the issues pertaining to international relations. Policy recommendations were also made through television appearances, panel discussions and public lectures. For instance, in August, 2012, Ambassador Shyam Saran also delivered the Second Annual K. Subramanyam Memorial Lecture on China’s worldview.

**Capacity Building**

During the year, the centre initiated and was actively engaged in several capacity-building projects within India, aimed at enhancing the wherewithal of students, university faculty and government officials working in the field of international relations, by sharing its resources and expertise.

In July-August 2012, CPR undertook a unique initiative by organizing a Summer Workshop on Strategic Studies, a two-week workshop for a group of advanced students from the fields of international relations, military studies, political science and policy planning and to introduce these upcoming scholars to strategic and military policy issues.
by equipping them with conceptual frameworks, methods of analysis, historical and contemporary case studies.

Out of one hundred and fifty applications received for the workshop, twenty-one were selected from across the country and abroad. Four of the participants were junior faculty members, two were researchers in various think tanks, two were National Security Council staffers with ranks of Lieutenant Colonel and above and the rest were pursuing their doctorates in various universities. The students were provided in excess of two thousand pages of reading material and more than eighty hours of instruction by Srinath Raghavan and Rudra Chaudhri from King’s College, London along with ten experts who provided lectures on a variety of contemporary issues. Second iteration of the workshop will be held in August 2013, preparations for which have already commenced.

In November 2012, CPR, in collaboration with the India China Institute of The New School, organized the Second Annual Interdisciplinary Emerging Scholars Symposium for young scholars working on the issues of Indo-China relations. Over sixty research papers were submitted, of which ten were selected by a panel of experts. The symposium was aimed at giving young scholars an opportunity to showcase their research and receive feedbacks from the experts in the field.

Over the year, Professor Bharat Karnad lectured at the Command Operational Review and Evaluation programme conducted by the Indian Military for officers of Major General rank and above. He also conducted a Strategic Nuclear Orientation Course at the Integrated Defence Staff Headquarters for officers of Brigadier rank and above. He was also instructor in Higher Command Courses at the Army War College and College of Naval Warfare.

Conclusion

In conclusion, throughout the year CPR continued to add to its international relations and security studies team—comprising both experienced and younger scholars—that is arguably the finest in the country. The programme has put in place a network of the highest intellectual caliber, producing high quality research material on a wide array of topics. CPR has also sought new platforms for the dissemination of research and ideas. Lastly, and perhaps most importantly, the centre has been able to make a demonstrable impact on policy-makers in India and has shaped international debates on security in South Asia.
The following research publications were brought out during the year 2012-13:

A. Major Books Published


B. CPR Occasional Paper Series


ii. *Consultation on the Fifth Schedule and Tribal Rights*, CPR Occasional Paper No. 21, March 2013
C. CPR Working Paper Series


D. Articles Published by CPR Faculty

About 300 articles were also published by CPR Faculty Members in major national/international dailies and popular journals during the year.
DISCUSSIONS, MEETINGS AND SEMINARS/WORKSHOPS

(Organised by CPR)

During the year under review, the CPR organised several seminars and special discussion meetings in addition to weekly faculty meetings with distinguished experts as also some Workshops-cum-Conferences. These are listed below:

ECONOMIC POLICY ANALYSIS

i. Panel Discussion on “India and the new Global Development Order”, jointly organized by Centre for Global Development India Initiative and the Centre for Policy Research at India International Centre, New Delhi on 6 November 2012. The session was Chaired by Hon’ble Shri Jairam Ramesh, Union Rural Development Minister, Government of India.

ii. Talk on “The Merits of Money and ‘Muscle’: Criminality, Elections and Democracy in India” by Milan Vaishnav, Associate with the South Asia program at the Carnegie Endowment for International Peace in Washington DC on 29 November 2012 at CPR.

iii. Talk on “The Invention of the Economy” by David Singh Grewal, Associate Professor at Yale School on 10 January 2013 at CPR.

iv. Discussion on “Are the BRICS Losing their Way in the Global Economy?” on 20 February 2013 at Taj Palace Hotel, New Delhi.

ENVIRONMENTAL LAW AND GOVERNANCE

i. Talk on “Update on Climate Action in South Africa” by Harald Winkler, Group Leader, Energy, Environment and Climate Change, University of Cape Town on 11 April 2012 at CPR.

ii. Talk on “Can Tort Law Save the Climate? Assessing Tort-Based Climate Litigation
iii. Talk on “Asking the Climate Question: How the United States is Adapting to Climate Change at the Federal and Local Levels” by Rob Verchick, a 2012-2013 Fulbright-Nehru Research Scholar working in New Delhi and affiliated with the Centre for Policy Research on 15 October 2012 at CPR.

iv. Talk on “Clean Energy Alternatives for Addressing India’s Energy Security Concerns” by Ranjit Bharvirkar, Senior Energy Consultant, Itron and formerly Scientific Engineering Associate at Lawrence Berkeley National Laboratory (LBNL) on 31 October 2012 at CPR.

v. Talk on “Open Source Modelling Tools or Why Energy and Climate Policy in India Needs Some Fresh Air” by Dr. Shoibal Chakravarty, Research Associate at Princeton Environmental Institute, Princeton University on 22 November 2012 at CPR.

LAW, REGULATION AND THE STATE

i. Two day Conference on “Delivering Inclusive and Sustainable Development” jointly organized by the Centre for Policy Research, with South Asia Policy and Research Institute (SAPRI), Sri Lanka, headed by H.E. Mme. Chandrika Kumaratunga and The Club de Madrid, Spain on 9-10 April 2012 at Taj Mahal Hotel, New Delhi.

ii. Talk on “Returning Sovereignty to the People on the Use of Sovereign Rights by Nations to prevent International Scrutiny” by Hallie Ludsin, J.D., L.L.M., as a part of the International Law Seminar Series organized by the Group of International Lawyers in Delhi (GUILD), anchored at CPR on 20 April 2012 at CPR.

iii. Talk on “Recent Supreme Court Decision on RTE” by Menaka Guruswamy, Advocate, Supreme Court of India on 27 April 2012 at CPR.

iv. Talk on “Should the Right to Property Return?” by Namita Wahi, S.J.D candidate at Harvard Law School and affiliated with the Centre for Policy Research, New Delhi on 27 August 2012 at CPR.
Workshop on “Reservation for Minorities”, jointly organized by the Ministry of Law and Justice and the Centre for Policy Research on 17 September 2012 at CPR.

Discussion on “Scope of Community Monitoring in RTE” on 26 September 2012 at CPR.

A day-long meeting on RTE on 27 October 2012 at CPR.

Talk on “International and Criminal Law Issues in the Case relating to the Killing of Two Fishermen by Italian Marines” by Avi Singh, Advocate and Attorney-at-Law based in Delhi on 9 November 2012 at CPR.

Interactive Discussion on “Constitutional Provisions for Tribal Communities and the Rise of Naxalism in India” on 19 November 2012 at CPR.

Talk on “Transparency in Troubled Times” by Alasdair Roberts, Jerome L. Rappaport Professor of Law and Public Policy at Suffolk University Law School, and Faculty Director of the Rappaport Center for Law and Public Service on 11 December 2012 at CPR.

Half a day Dialogue on “Telangana: Finding an Amicable Solution” on 14 December 2012 at CPR.

Seminar on “Public and Social Innovation: New Approaches to Old Problems” by Prof. Peter Shergold, The Chancellor of the University of Western Sydney, Australia on 14 January 2013 at CPR.

Talk on “The Indian Supreme Court by the Numbers” by Mr. Nick Robinson on 22 February 2013 at CPR.

Talk on “Social Movements in the Age of Neoliberalism” by Michael Burawoy, Professor of Sociology at the University of California Berkeley and the President of the International Sociological Association on 22 January 2013 at CPR.

Panel Discussion on “Localizing Development: Does Participation Work?” on 6 February 2013 at CPR.
URBANISATION

i. Workshop on “The (Difficult) Emergence of Municipalities: Decentralization and Small Town Governance in Uttar Pradesh” by Rémi de Bercegol of LATTs, ENPC Université Paris Est, France as a part of CPR’s ongoing Urban Workshop Series on 24 April 2012 at CPR.


iii. Workshop on “Urban Transport and the Twelfth Five Year Plan” by Rakesh Mohan, Chairman, National Transport Development Policy Committee (NTDPC), Government of India as a part of CPR’s ongoing Urban Workshop Series on 29 May 2012 at CPR.

iv. Workshop on “Twists and Turns in Urban Planning, Ahmedabad, 1991-Present” by Howard Spodek, Professor of History and of Geography and Urban Studies at Temple University, Philadelphia, USA as a part of CPR’s ongoing Urban Workshop Series on 26 June 2012 at CPR.

v. Two-day Workshop on “System of Innovation and Rural Transformation in India and China”, jointly organized by the India International Centre and the Centre for Policy Research, New Delhi at India International Centre on 23-24 July 2012.

vi. Workshop on “Urbanization and Emerging Infectious Disease: The Case of Dengue in Delhi” by Olivier Telle, Post-Doctoral Associate at Institut Pasteur, Paris as a part of CPR’s ongoing Urban Workshop Series on 31 July 2012 at CPR.


viii. Two-day Workshop on “Global Sub-urbanism” on 23-24 August 2012 at CPR.

x. Workshop on “Subaltern Urbanisation” by Partha Mukhopadhyay, Senior Fellow, Centre for Policy Research, New Delhi as a part of CPR’s ongoing Urban Workshop Series on 25 September 2012 at CPR.

xi. Workshop on “Comparing Governance Modes in Large Metropolises” by Patrick Le Galès of Centre d’études Européennes, Sciences Po, Paris as a part of CPR’s ongoing Urban Workshop Series on 30 October 2012 at CPR.

xii. Workshop on “Growth and Citizenship in Indian Cities: A Comparative Perspective” by Patrick Heller, Visiting Professor, Centre for Policy Research, New Delhi, India and Professor of Sociology and International Studies at Brown University, USA as a part of CPR’s ongoing Urban Workshop Series on 27 November 2012 at CPR.

xiii. Workshop on “Urban Policies and the Right to the City in India” by Véronique Dupont, Senior Research Fellow in Demography at the French Institute of Research for Development (IRD), Paris, Stéphanie Tawa Lama-Rewal, Research Fellow in Political Science and Deputy Director Centre d’Études de l’Inde et de l’Asie du Sud Centre, Paris (CEIAS) and Marie-Hélène Zérah, Research Fellow in Urban Studies at the French Institute of Research for Development (IRD), Paris as a part of CPR’s ongoing Urban Workshop Series on 18 December 2012 at CPR.

xiv. Workshop on “Domestic Workers and Expatriate Employers in New Delhi: ‘Ayahs,’ ‘Maids,’ and ‘Nannies’ in a Globalizing Economy” by Shalini Grover of Institute of Economic Growth, University of Delhi as a part of CPR’s ongoing Urban Workshop Series on 29 January 2013 at CPR.

xv. International Workshop on “Governance of Megacity Regions”, jointly organized by the Centre for Policy Research and Confederation of Indian Industry (CII) at Taj Mahal Hotel, Mumbai on 4-5 February 2013.

xvi. Workshop on “Decoding China’s Urban Dilemmas” by Geeta Kochhar, Assistant Professor at the Centre for Chinese and South East Asian Studies at the Jawaharlal Nehru University, New Delhi as a part of CPR’s ongoing Urban Workshop Series on 26 February 2013 at CPR.

xvii. Workshop on “Dirty but Comfortable? : Everyday State’s View of Waste Water in Delhi’s Informal Settlements” by Anna Zimmer, Postdoctoral Research Fellow
CENTRE FOR POLICY RESEARCH

at the Institute of Geography and Sustainability, University of Lausanne, Switzerland as a part of CPR’s ongoing Urban Workshop Series on 26 March 2013 at CPR.

INTERNATIONAL RELATIONS AND SECURITY

i. Talk on “The United States as a Developing Country” by Michael Walton of Kennedy School of Government, Harvard University and Visiting Professor at the Centre for Policy Research on 11 April 2012 at CPR.

ii. Symposium on “From Kunming to Kolkata along the Southern Silk Route: Will it Open up New Avenues for Regional Cooperation” on 9 April 2012.

iii. Summer Workshop on “Strategic Studies” from 23 July 2012 to 3 August 2012 at CPR.


v. Brainstorming Session with a team from US National Intelligence Council and the Atlantic Council on 1 October 2012 at CPR.

vi. Talk on “Who is Xi?: The Known and Unknown in China’s Political Future” by Mark Frazier, Professor of Politics and Academic Director, ICI, The New School, New York, USA on 1 November 2012 at CPR.

vii. Talk on “US & Russia under Obama-II” by Samuel Charap, Senior Fellow for Russia and Eastern Europe, International Institute for Strategic Studies (IISS), London on 29 November 2012 at CPR.

viii. One-day International Conference on “Myanmar: Distant Neighbour”, jointly organized by the Centre for Policy Research (CPR), New Delhi, Centre for North East Studies and Policy Research and the Academy of International Studies, both of Jamia Millia Islamia, New Delhi and ANFREL Foundation, Bangkok at Rabindranath Tagore Hall, Jamia Millia Islamia, New Delhi on 5 December 2012.

x. Talk on “Europe and India between America and China” by Professor of European Studies, University of Oxford on 15 January 2013 at CPR.

xi. Talk on “Dynamics of Elite Political Settlements in a Semi-Matured Limited Access Order: The Case of Bangladesh” by Dr. Mirza M. Hasan, Lead Researcher of Governance Cluster at BRAC University, Bangladesh on 29 January 2013 at CPR.

xii. One day Symposium on “Democracy and Development in India” on 19 February 2013 at CPR.

xiii. Triologue involving India, USA and China on 29-30 March 2013 at Taj Mahal Hotel, New Delhi.
I. PRS LEGISLATIVE RESEARCH

The PRS Legislative Research (PRS) project was started in CPR in 2005 with the mission of making the legislative process in India better informed, more transparent and participatory. In the seven years since then, PRS has gained attraction from MPs, MLAs, journalists and civil society groups as a source of high quality unbiased analysis on legislative bills and parliamentary activities. In order to strengthen the work of PRS, it was institutionalised in a separate entity, and the project in CPR came to a closure in January 2013. This report details the work done in 2012-13 by PRS as a project of CPR.

- **Research Products**
  a. Legislative Briefs
  b. Summaries on Bills and Standing Committee Reports
  c. Monthly Policy Review
  d. MP Track
  e. Vital Stats
  f. Parliament Tracking Resources

- PRS provided research to over 370 MPs on various legislative and policy matters during the year. PRS organised briefings on various subjects as part of the ‘Wednesday Policy Dialogue Series with MPs. Besides, PRS briefs MPs every Thursday during Parliament session on Bills coming for discussion in Parliament.

- PRS also works with Members of Legislative Assemblies (MLAs) with a focus on capacity building of legislators to help them understand their roles and functions better.

- PRS aims to make the legislative and parliamentary processes more transparent and participatory. This year, PRS has been cited in over 360 articles in print and online media publications. PRS team members have authored more than 20 analysis pieces in leading newspapers and news magazines.
- PRS has been invited from time to time to address Civil Society groups, including students, NGOs and corporates on issues pertaining to legislation. PRS was invited to speak at 16 workshops in India including those conducted by the Centre for Civil Society, Youth Parliament, and the National Foundation of India.

- The Fifth PRS Annual Conference on Effective Legislatures was held in November 2012, which addressed two important themes related to Parliament.
  
  i) Parliamentary scrutiny of executive rule-making
  ii) Parliamentary oversight of regulatory bodies:

- In June 2012, the third batch of LAMP Fellowship was started with young graduates from diverse academic and professional backgrounds, representing 15 states and union territories. These LAMP Fellows worked with MPs from 15 political parties across both Houses of Parliament.

II. ACCOUNTABILITY INITIATIVE

The Accountability Initiative (AI) works towards deepening research, analysis and reform efforts to strengthen accountability for public service delivery in India. Research and activities initiated in 2012-2013 include:

1. PAISA

PAISA is AI’s flagship research programme where we track and analyze planning, budgeting and decision-making structures in key social sector programmes. The bulk of our work under PAISA is focused on elementary education.

Key research activities undertaken in 2012-2013:

- **Budget Briefs**– The budget briefs are an annual series where we synthesise allocation, expenditure and output trends in key social sector programmes. In 2013, we produced briefs on Sarva Shiksha Abhiyan, Mid Day Meal Scheme, Mahatma Gandhi National Rural Employment Guarantee Act, National Rural Health Mission, Total Sanitation Campaign, Social Pensions, and the Jawaharlal Nehru National Urban Renewal Mission. We also ran a series with the mint newspaper where we showcased data from four of our briefs.
PAISA National Report- The PAISA national report is based on a national survey implemented through the ASER survey which tracks school level fund flows and expenditures in the Sarva Shiksha Abhiyan. The 2012 PAISA report was launched in March 2013. The Minister of State for Human Resource Development Dr. Sashi Tharoor launched the report. The launch was followed by a panel discussion moderated by Mr. Michael Walton. Karthik Muralidharan, Ashish Dhawan and Govind Rao were the panelists.

PAISA District Studies - In 2012, at the request of the MHRD, we undertook an extensive expenditure tracking exercise for mid day meals in Uttar Pradesh and Bihar. Finally, we published a case study tracking district and block level teacher monitoring systems in Bihar (Nalanda) and Madhya Pradesh (Sagar). We also completed field work for a time-motion study of district and block level education officials and a study tracing the processes through which school level plans are made. The study report will be ready for dissemination by mid September 2013.

2. Accountability Research

The focus of this programme is on studying the implementation of accountability experiments in the country. In 2013, we commissioned two policy papers on grievance redressal mechanisms in service delivery programmes. The first study critically examined the grievance redressal laws that have been passed in 13 states as well as the proposed national level grievance redressal bill and the second study traced the actual implementation of these laws in Bihar and Madhya Pradesh. We also completed fieldwork for a study tracing the grievance redressal process being implemented through social audits for the MGNREGA in Andhra Pradesh. In early 2013, we started work on a synthesis paper that analyses the emerging trends in social accountability work in India. This paper will be available for public dissemination in late 2013.

3. Citizen Engagement and Capacity Building

The emphasis of this programme is on providing structured capacity building courses on public administration and accountability. The course was piloted in 2011 as a two-year residency course for PAISA Associates (field level staff hired to implement the PAISA programme) and includes modules on basic public finance theory, federalism in India, how to read and understand budgets and designing accountability instruments. We completed the course in December 2012 with a final evaluation of all students. In addition, in May 2013, a shorter version of the PAISA course was delivered to students at the Azim Premji University as part of the Master’s in education programme.
III. INDIA CHINA INSTITUTE

The India China Institute (ICI) -CPR partnership is an important part of CPR’s initiatives to facilitate research on China. As the academic partner and representative of the ICI in India, CPR supports all ICI activities in India. In 2012-13, Dr Nimmi Kurian, Associate Professor and Dr Geetanjali Chopra with CPR, worked with the ICI team to organise two major events in New Delhi.

i) CPR invited Mark Fraiser, Professor of Politics and the Academic Co-director of India China Institute, to deliver a lecture on “Who is Xi? The Knowns and Unknowns of China’s Political Future” in November 2012. Attended by members of academia, students, diplomats and media persons, the lecture explored the leadership transition associated with the fall 2012 18th Party Congress, and addressed broader themes about the information vacuum in Chinese politics and its broader consequences for China and its international relationships.

ii) CPR and ICI in collaboration with the East Asia Studies Departments of the University of Delhi and the Jawaharlal Nehru University organised the Second Emerging Scholars Symposium on China India Studies on 2-3 November 2012 at the University of Delhi, New Delhi. A part of the ICI_CPR’s continuing commitment to build a community of scholars who are engaged in research that focuses on new and innovative approaches to understanding India-China relations, this unique initiative is designed to provide a platform for advanced level graduate students in professional fields as well as current and recent PhD scholars to present their ongoing research and to discuss recent advances and new trends in research on India and China. Accordingly ten scholars from all over India were invited to present papers at the two-day symposium. The India symposium was the first part of a three part series; the second symposium was held in Beijing for emerging Chinese scholars in China conducting research on India, and the third one was held for American scholars in New York City at The New School. The two best scholars from India, China and America were subsequently invited to a special International Symposium at The New School, New York City in March 2012.
1. Alternative Approaches to Governance in India’s Mega Cities

This research project funded by the Ministry of Urban Development is a comparative study of the demographics, spatial, economic and infrastructure issues and the governance framework in the five metropolitan regions of Mumbai, Bengaluru, Hyderabad, Chennai and Kolkata. The study was completed during the year. In December 2012 a national level workshop was held in which the Secretary Ministry of Urban Development and scholars from various research organisations participated. Based on the feedback from this workshop, the study report was revised which was presented and discussed extensively in an international workshop held in Mumbai on 4 and 5 February 2013. A cross section of scholars, government officials, senior journalists, business leaders and political representatives including the Minister for Housing and Urban Poverty Alleviation, Shri Ajay Maken and some MPs participated. Additionally ten international experts from Brazil, US, Canada, UK, South Africa, Indonesia and Singapore participated. Apart from newspaper and television reports, the workshop was extensively reviewed in the Economic and Political Weekly. In the light of the discussion in the workshop, it is proposed to publish this study as a book in the near future.

Prof. K.C. Sivaramakrishnan along with Dr. Partha Mukhopadhyay, Senior Fellow and other researchers in the urban cluster actively participated in the conduct of the study.

2. Systems of Innovation for Inclusive Development: Lessons from Rural China and India (2009-2012), Sponsored by IDRC, Canada (In collaboration with Centre for Development Studies, Trivandrum, Gujarat Institute of Development Research, Ahmedabad, and the Central University of Hyderabad, and Chinese collaborators headed by Zhejiang University, Hangzhou).

The SIID project was completed on 30 November 2012, with two findings about innovation systems for development. The first is that innovation for inclusive development demands institutions that essentially and substantively address inequality. Innovation, with all the meanings of knowledge, S&T and creativity associated
with it, has its own formal hierarchy, and is, not the only or even main influence on inequality. It is causally linked to conditions and drivers of exclusion that perpetuate inequality through different economic, social, and political processes. In developing countries like India, the dominant formal institutions (norms, rules and regulations that have proven ineffective in enabling innovation or delivering development goods to massive sections of the population) persist, sustained by the production investments and technological capacities built by the state and its “intermediate class”. Comparatively, though poverty levels and rural poverty in particular are high in both countries, rural China seems more institutionally advanced to address inequality of opportunity, access to investment and knowledge, to enable innovation. The second finding is that decentralized investments and capacities are the key to innovation for inclusive development.

Given that the expected outcome of SIID was to enhance learning and capacities to plan interventions and reforms in the knowledge infrastructure and policy processes that can lead to innovation for inclusive development, these findings have been shared with decision makers in the central, state and local governments, and with a range of civil society organizations. The SIID team started communication with decision-makers very early in the project, with single page “SIID Communiqué” designed to draw attention to forms of exclusion, the importance of innovation for inclusive development. Besides these, the SIID Policy Options (five out of the eight planned are available on www.siid.org.in), three research papers published, twelve research papers and two special sessions presented at international and domestic conferences, and the contribution of SIID team members in several S&T and planning decision-making bodies have had impacts on decisions about knowledge and innovation for inclusive development. The SIID contributions to policy making are to the Planning Commission’s Working Group on Natural Resources Management and Rainfed Farming, as part of a wider network on Revitalization of Rainfed Agriculture which has been included in the XII Five Year Plan as the design and protocol of the National Rainfed Farming Programme, and to the FAO(UN) Country Programming Framework on rainfed agriculture and inclusive innovation, and also accepted as a key component of FAO-Government of India strategy for India 2013-17. In addition, SIID results contributed to the Kerala Government’s Planning Board Working Groups on Agricultural Biotechnology, Plantation Agriculture, and Natural Resources Management, the Spices Board and Rubber Board, the ICAR (especially the one day brainstorming organized by the Secretary DARE/ICAR on the ‘need for a policy framework for agricultural S&T’ on 9 January 2012), NAIP – World Bank-ICAR (the Organization and Management Programme Committee), the initial rural innovation database.
work for CSIR800 (conducted within NISTADS), teaching and curricula in CDS and GIDR, in NISTADS to the new Academy in CSIR (AcSIR) Ph.D. programme on Science, Technology and Innovation Studies. In collaborating with organizations, SIID project findings helped define and articulate the courses needed for training a new generation of scholars on innovation for inclusive development, and design ‘inclusive innovation’ courses and training material for mid-career bureaucrats and scientists who have the mandates to work on agricultural, rural manufacturing, rural infrastructure, markets and marketing, artisans and technological learning, and gender issues.

The impacts that the SIID project has on further research will be through students and young scholars and journalists. A workshop on research capacity development organized in Patiala (Punjabi University) for 15 students (Ph.D. and M.Tech), a Ph.D. student’s (from CDS Trivandrum) fieldwork in China supported by the ICSSR awarded international travel and research grant, and 3 media fellowships on Hunger and Inclusive Development awarded by Gene Campaign (supported by SIID, CPR) to report on evidence of exclusion and cases of inclusion in agricultural and rural innovation systems, add to this outreach.

3. **CPR-Namati Collaborative Program on Environmental Justice**

In December 2011, CPR and Namati joined to initiate a joint project on Environmental Justice. The Project aims to i) deepen our understanding of the process and functioning of environmental regulations in India and ii) experiment with institutional and information-based research interventions to improve environmental outcomes.

During the year 2012-13, the Namati-Centre for Policy Research Environment Justice Program was engaged in a range of research activities many of which were near completion at the end of the financial year on 31 March 2013:

i. **Community Led Ground Truthing of Industrial Compliance in Mundra District of Kutch:** The EJ program was involved in providing technical and methodological support to community representatives in Mundra, Kutch who have come together under the banner of Mundra Hitrakshak Manch (Forum for the Protection of Rights in Mundra). Members of this forum along with Namati-CPR and some local organisations carried out a community led research to collect and correlate evidence on the compliance of environmental conditions laid out in the Coastal Regulation Zone (CRZ) approval to the Waterfront
Development Project (WFDP), one of the largest infrastructure, port and coal handling facilities in Kutch. The final draft of this report was also submitted to a committee set up by the Ministry of Environment and Forests (MoEF) in September 2012 to assess the violations of industrial operations in Kutch by the project developer of WFDP. The report on the methodology of this process and its findings is under finalisation and will subsequently be printed.

ii. Study on Coastal Zone Management Authorities (CZMAs): The EJ programme carried out a detailed study to understand the institutional structures for coastal governance as prescribed by the CRZ Notification, 2011 (earlier 1991) in India. As per this notification, CZMAs have been set up in all the coastal states of India and one at the national level housed in the MoEF. As part of the study, interviews were carried out with current and former CZMA members as well as civil society representatives engaged with the CRZ process. Additional information was sought through Right to Information and through the websites of state governments and the MoEF and analysed thereafter. The report of the study is being finalised.

iii. Community Mapping as a methodological tool to assess the cumulative impacts of industrial and infrastructure development: While Cumulative Impact Assessments (CIA) have been spoken about extensively in policy and legal circles, they have been interpreted in varied ways in practice with a range of hypotheses. The purpose of this study is to field test and present Participatory Community Mapping as a possible tool to carry out CIA in a non-technicalised and decentralised manner. This method involves the use of mapping the responses to questions regarding changes in a given geographical landscape in small group discussions. Verbal responses are entered onto survey sheets and spatial responses entered as coordinates on transparencies overlaid on satellite basemaps. A pilot study is being carried out in Mundra taluka of Kutch district and the results of this will be analysed and presented by June 2013.

iv. Declaration of Ecologically Sensitive Area (ESA) for coastal conservation and governance: The EJ programme, along with local partner groups and fishing communities in Kutch, has undertaken the exercise of putting together a proposal for the declaration of a 45 km stretch of beach space with nine traditional fishing harbours as an Ecologically Sensitive Area (ESA) under the Environment Protection Act, 1986. The methodology involved combining technical information in the proposal largely from secondary sources along with data from several meetings with the fishing community at each of the still
functional *bandars*. These meetings made possible the legal empowerment of the participants in that they discussed the existing forms of governance and how it bypassed their concerns and life situations. Through these meetings, they also engaged collectively in developing a draft management plan to protect the bandars from being taken over by industrial expansion from all sides. With this proposal done, the EJ program proposes to develop an advocacy initiative to conserve the ecology and livelihoods of this stretch of the coast in Mundra taluka, Kutch.

v. **Study on Health of the Coast in Uttara Kannada District**: Prior to engaging in a longterm association around coastal governance and planning in Uttara Kannada district of Karnataka, the EJ program initiated a six month study to understand the ecological health and livelihood issues in the coastal areas of the district. The study involved ecological mapping as well as assessments of landuse changes. Based on this report which is due to be finalised by June, the next steps for the interventions on participatory land use planning and coastal governance will be carried out in the district.
1. During the year under review, the President, Dr. Pratap Bhanu Mehta was involved in the following research and allied activities:

Conferences/Seminars/Talks


ii. Attended and participated in a Trialogue meeting involving China, USA and India held on 7-8 May 2012 in Beijing, China

iii. Delivered a talk on “Rule of Law in a Developing Society” at National Gallery of Modern Art (NGMA), Bangalore on 18 May 2012

iv. Delivered a talk on “Freedom of Expression in India” at Kings College London during his visit from 2-14 June 2012

v. Attended and participated in an IDRC Global Event on “The Think Tank Initiative Exchange 2012: Enabling Success” from 18-20 June 2012 in Cape Town, South Africa

vi. Spoke at Yale-NUS College Inaugural Faculty Workshop on “Teaching Humanities in Asia” on 8 August 2012

vii. Delivered a talk on “Excellence in Higher Education” at EDU’s second Vice Chancellor’s Retreat on September 1, 2012

viii. Attended and participated in a Trialogue meeting involving China, USA and India held from 4-8 September 2012 in USA.

ix. Delivered a lecture on “India’s Progressive Moment?” at India International Centre, Pune during his visit on 24-25 September 2012
x. Attended and participated in the Indo-German Consultative Group (IGEC) Meeting, set up by former Indian Prime Minister and Chancellor of the Federal Republic of Germany respectively in 1991 on 28-29 September 2012 in Frankfurt, Germany.

xi. Attended and participated in an International Conference on “The Rise of the Middle Class in India, Brazil and South Africa” on 9-10 October 2012 in Sao Paulo, Brazil.

xii. Spoke on “The Accountability Revolution in India” at Australian National University, Canberra, Australia during his visit from 28 October to 3 November 2012

xiii. Attended and participated in a Holberg Prize Academic Committee on 15-16 November 2012 at WWTFs Office in Vienna, Austria.


xv. Spoke on “The UNDHR at 70” at New York University, USA during his visit from 1-3 December 2012

xvi. Attended and participated in a Universal Declaration of Human Rights (UDHR) Philosophers’ Committee meeting on 7-8 December 2012 in New York.

xvii. Delivered the Plenary Address at the 38th All India Sociological Conference on the theme “Contemporary Indian Society: Challenges and Responses” in Udaipur on 28 December 2012

xviii. Attended and participated in an Expert Meeting on Panchayati Raj at Vigyan Bhawan on 9 January 2013


xxi. Acted as a Panelist in the Panel Discussion on “The Dilemmas of Free Speech” organized by Centre for the Study of Developing Societies (CSDS), Delhi at India Habitat Centre on 17 January 2013.

xxii. Delivered a talk on “India: The Great Churning” at Ravenshaw University, Cuttack on 21 January 2013.

xxiii. Attended and participated in a Workshop with Mr. Pascal Lamy, Chairman Oxford Martin Commission at India Habitat Centre on 29 January 2013.

xxiv. Acted as a panelist on the Panel at the Book Release Function of the “Survey and Exploration in Indian Democracy” at JNU on 22 February 2013.

xxv. Delivered a lecture on “Can South Asia Face the Challenges of its Prevailing Governance Crisis” in Colombo on 27 February 2013.

xxvi. Spoke on “The Politics of India’s Economy” as a Guest Speaker at JM Financial Event at the Leela, Gurgaon on 6 March 2013.

xxvii. Attended and participated in the 3rd Regional Meeting of the South Asian Think Tanks in Colombo from 13-17 March 2013.

xxviii. Attended and participated in the panel discussion on “Meet of the Indian Mountain States” at IIC on 19 March 2013.

xxix. Attended and participated in a Triologue meeting involving India, USA and China on 30-31 March 2013 at Hotel Taj Mahal, New Delhi.

Chapter in Edited Volume


Articles in National and International Peer Reviewed Journals


2. **During the year under review, Mr. K C Sivaramakrishnan, Honorary Research Professor** was involved in the following research and allied activities:

**Research Activities**

i. Prof. K C Sivaramakrishnan along with Dr. Partha Mukhopadhyay, Senior Fellow and other researchers in the urban cluster actively participated in the conduct of the study funded by the Ministry of Urban Development which is a comparative study of the demographics, spatial, economic and infrastructure issues and the governance framework in the five metropolitan regions of Mumbai, Bangalore, Hyderabad, Chennai and Kolkata.

ii. Prof. Sivaramakrishnan continues to be engaged in a study on the Effectiveness of the 74th Constitutional Amendment and has initiated a study of some aspects of the Amendment to consider the need for possible further amendments

**Conferences/Seminars**

i. Participated in the Conference on “Delivering Inclusive and Sustainable Development” organized by South Asia Policy and Research Institute, Sri Lanka in New Delhi on 9 and 10 April 2012

ii. Participated in the Bangladesh-India Dialogue organised by the Bangladesh Unnayan Parishad, Dhaka on 15-16 April 2012


iv. Participated in the Conference on the 21st Century Indian City: Working Towards Being Slum Free? Organised by the Center for South Asia Studies, at the University of California, Berkeley on 27-28 April 2012

v. Participated in the Workshop on Landscape of Surprise - From Emerging Urbanisms to Emerging Practices, jointly organised by IHS, the GTZ India and the School of
vi. Participated in the Conference on Participatory Democracy-Exploring New Paradigms in Self-Governance, organised by Common Cause and India Studies Project, CSDS in October; India Habitat Centre New Delhi, October 2012

vii. Participated in a Seminar organised by the Institute of South Asian Studies on Megacity Regions in South Asia: Growth & Governance at the National University of Singapore’s Bukit Timah Campus on 11 October 2012

viii. At the invitation from the Center for Liveable Cities, Singapore I participated and gave a public lecture on Growth & Governance– The Indian Urban Scene, as part of the CLC Public Lecture Series on 10 October 2012

ix. Participated in the Conference on Third Regional meeting of the South Asian Think-Tanks, Sri Lanka on 13-17 March 2013

x. Participated in the Third Berkeley Conference organised by the IIHS-Berkeley on the 21st Century Indian City at Bangalore on 26-27 March 2013

Papers/Articles

i. Maximum Cities : Local Bodies in India Must be Made Responsible for Urban Planning : The Indian Express, 29.10.2012


iii. Revisiting the 74th Constitutional Amendment for Better Metropolitan Governance – Economic and Political Weekly, 30.3.2013

3. During the year under review, Dr. Bibek Debroy, Research Professor was involved in the following research and allied activities:

Books


**Discussion Papers/Reports**


**Chapters in Edited Volumes**


Web Based Publications

i. “Poverty in North-East and UT-s,” The Economic Times/India Times Blog, 6 April 2012.


4. During the year under review, Dr. Nimmi Kurian, Associate Research Professor was engaged in the following research and allied activities:

Books

i. India China Borderlands: Conversations Beyond the Centre, Sage, New Delhi, 2013, forthcoming.

ii. Emerging China and India’s Policy Options, third reprint, Lancer, New Delhi, 2012.

Policy Briefs/Discussion papers/Reports


Chapters in Edited Volumes


Book Review


Meetings/Conferences where written papers were presented


vi. Second Annual Interdisciplinary Emerging Scholars Symposium on India China Studies, organised by the India China Institute, The New School, New York, Centre for Policy Research, DU and JNU, University of Delhi, 2-3 November 2012

vii. China India Conversations, Second Interdisciplinary Symposium for Emerging Scholars on India China Studies, organised by the India China Institute, The New School, New York, 6 March 2013

5. During the year under review, Dr. Lavanya Rajamani, Associate Research Professor was involved in the following research and allied activities:
Chapters in Edited Volumes


Articles in National and International peer-reviewed journals with citation


Policy Briefs/Discussion Papers/Reports

i. International Law Association Committee on the Legal Principles Relating to Climate Change, Second Report (June 2012) (Rapporteur)

Meetings, Seminars, Conferences


vi. “Designing the 2015 Climate Agreement”, invited as an expert to address the Heads of Climate Change Delegations from European Union member states, 6 July 2012, Cyprus.

6. During the year under review, Dr. Shylashri Shankar, Senior Fellow was engaged in the following research and allied activities:

Chapters in Edited Volumes


Articles in National and International Peer Reviewed Journals


Meetings/Conferences where written papers were presented

i. Bellagio Centre, Rockefeller Foundation, Italy: Co-organized and presented a chapter titled “The Promises and Perils of Adopting Ambiguity: Constitutional Framing of Religion-State Relations in India, Sri Lanka and Pakistan” for an edited volume on *Constitution Making, Human Rights and Religion*

ii. CPR-CORD Conference, December 2012, New Delhi: Organized and presented a chapter titled “Citizen Power or State Weakness – The Charminar Pedestrianization Project in Hyderabad

iii. Asian Law Association Conference, Shanghai, March 2013: Presented a paper titled “Judicialization of Politics in India”.


7. During the year under review, Dr. Navroz K. Dubash, Senior Fellow was involved in the following research and allied activities:

Books

CENTRE FOR POLICY RESEARCH

Articles in National and International Peer Reviewed Journals


Policy Briefs/Discussion Papers/Reports


Meetings/Conferences where written papers were presented

Conference: Peer Learning Workshop on Mainstreaming Climate Change in Key Sectors
Organized by: United Nations Development Program (UNDP) India
National Institute of Administrative Research (NIAR)
Lal Bahadur Shastri National Academy of Administration (LBSNAA)
Centre for Climate Change and Environment (CCC&E)
Date & Location: 18 March 2013, New Delhi
Session: Presentation and Discussion on Climate Change and Development
Presentation: “A Co-Benefits Approach to Climate Change in India”

Organized by: Tata Institute of Social Sciences, Mumbai
Date & Location: 22 February to 23 February 2013, Mumbai
Session: Approaches to Climate Change Mitigation –Costs, Comparisons and Consensus
Presentation: “A Co-Benefits Approach to Climate Change in India”
**Conference:** Earth System Governance Conference, 2013  
**Organized by:** The Institute for Sustainable Development and International Relations (IDDRI), France  
**Date & Location:** 28 January to 31 January 2013, Tokyo  
**Session:** Multi level Governance - The scale issue in the global international environment governance  
**Presentation:** “Multilevel Climate Governance through an Indian Lens”

**Conference:** Nature, Power, Knowledge: Seminar on Human Ecology  
**Organized by:** School of Human Ecology, Ambedkar University Delhi  
**Date & Location:** 14 December 2012, New Delhi  
**Session:** History and Politics of Environmental Change  
**Presentation:** “Multilevel Governance of Climate Change: Experience from Indian States”

**Conference:** Strengthening Green Federalism: Sharing International Practices  
**Organized by:** A TERI-Forum of Federation Conference supported by the Ministry of Environment and Forests, Government of India; Inter State Council, Government of India; and the World Bank  
**Date & Location:** 29 – 30 October 2012, New Delhi  
**Role:** Discussant

**Conference:** IAS training programme on Climate Change and State Preparedness: Impacts, Vulnerability and Adaptation  
**Organized by:** Department of Personnel and Training Ministry of Personnel, Public Grievances and Pensions, Government of India & The Energy Resources Institute  
**Date & Location:** 10 October 2012, New Delhi  
**Session:** Panel discussion on Interaction with Climate Change Forum on State Preparedness for Action on Climate Change  
**Presentation:** “State Action Plans on Climate Change”  
**Conference:** Asian Forum on Global Governance, 2012: Negotiating Governance in a Multi-polar World  
**Organized by:** ZEIT-Stiftung, Germany and Observer Research Foundation, India  
**Date & Location:** 17 October 2012, New Delhi  
**Session:** The Southern Trilemma – Climate, Energy and Poverty
Presentation: “Reflections on Indian Energy Governance”
Talk on: Climate Change and India: Development, Politics and Governance
Organized by: The Bangalore Platform
Date & Location: 26 September 2012, Centre for Education and Documentation, Bangalore

Conference: 38th Advanced Professional Programme in Public Administration (APPPA) for Senior Officers of the Government of India
Organized by: Government of India, Ministry of Personnel, Public Grievances and Pensions
Department of Personnel and Training
Date & Location: 27 July 2012, APPPA Lecture Hall, New Delhi
Presentation: “Regulatory Governance”

Conference: Implications of the New Protocol, the Durban Platform for Enhanced Action at the First Meeting of the CII Climate Change Council 2012-2013
Organized by: CII Climate Change Council
Date & Location: 25 May 2012 in New Delhi
Presentation: “Deconstructing Durban: Where to from Here?”

Organized by: The Energy Resources Institute
Date & Location: 9 April 2012, New Delhi
Role: Spoke in favour of the motion

Conference: ISA 2012 Annual Convention: Power, Principles and Participation in the Global Information Age
Organized by: The International Studies Association (ISA)
Date & Location: 1-4 April 2012, San Diego

Policy and Advisory Committees

i. Lead Author and Member Core Writing Team of the Synthesis Report, Intergovernmental Panel on Climate Change, Fifth Assessment Report.

8. During the year under review, **Dr. Srinath Raghavan**, Senior Fellow, was involved in the following research and allied activities:

**Books**


**Chapters in Edited Volumes**


**Articles in National and International Peer Reviewed Journals**

i. “Soldiers, Statesmen and India’s Security Policy”, *India Review*, vol. 11, no. 2, April 2012

ii. “Guest Editor’s Introduction”, *India Review*, vol. 11, no. 2, April 2012

**Policy Briefs / Discussion Paper/Reports**

i. “Stability in Southern Asia: India’s Perspective” in Ashley Tellis & Sean Mirsky (eds.) *Crux of Asia: China, India and the Emerging Global Order* (Carnegie Endowment for International Peace, January 2013)

**Meetings, Seminars, Conferences**


iii. “Military and Society in Contemporary India” at University of Pennsylvania Center for Advanced Studies of India Seminar (26 Apr 2012, Philadelphia)


Policy and Advisory Committees

i. Member, National Security Advisory Board (NSAB)

9. During the year under review, Mr. Nicholas Robinson, Senior Fellow was involved in the following research and allied activities:

Articles in National and International Peer Reviewed Journals


Policy Briefs/Discussion Papers/Reports


ii. The Supreme Court by the Numbers, Azim Premji University, Law, Governance, and Development Initiative (2012)
Articles in Non-refereed Publications/periodicals


Meetings/Seminars/Conferences


iii. “The Indian Supreme Court by the Numbers”, 19 May 2012, International Conference on Law, Governance, and Development, Azim Premji University, Bangalore

iv. “Why Laws Aren’t Implemented: Accountability Regimes and India’s Social Welfare Programs”, 19 October 2012, University of Pennsylvania

v. “Statistics and the Indian Judiciary”, 24 November 2012, Delhi Judicial Academy

vi. “India’s Separation of Powers: Towards a New Model” 15 December 2012, 3rd Law and Social Sciences Research Network Conference, University of Peradeniya, Sri Lanka


viii. “Challenges in Appellate Oversight: A Perspective from India” 20 April 2013, International Judicial Conference, Supreme Court of Pakistan, Islamabad
Web Based Publications

i. “When Fighting Corruption Harms Democracy” Columbia Journal of International Affairs Online, 20 February 2012

10. During the year under review, Dr. Jishnu Das, Senior Visiting Fellow, was involved in the following research and allied activities:

Chapters in Edited Volumes


Articles in National and International Peer-Reviewed Journals


**Working papers**


**Meetings/Seminars and Conferences**

i. Keynote Speaker, CMPO Conference, London 2013

ii. Invited Panelist, Hong Kong University Program on Human Resources and Economic Development, 2013

iii. Invited Speaker, SITE Conference, Stanford

iv. Invited Speaker, Seminar at Paris School of Economics

v. Invited Speaker, Seminar at Cambridge University

vi. Invited Speaker, Seminar at Toulouse University

vii. Invited Speaker, Seminar at DFID, London
viii. Talks given at Centre for Policy Research, World Bank, Delhi, World Bank, Washington DC

ix. Invited Speaker, International Finance Corporation

Blog Posts


ii. Devarajan, S. and J. Das 2013 “User Fees and Abuser Fees”, Blog Africa Can... End Poverty Washington, DC 4-April


11. During the year under review, Dr. Philippe Cullet, Senior Visiting Fellow, was involved in the following research and allied activities:

Chapters in Edited Volumes


Articles in National and International Peer Reviewed Journals


Policy Briefs/Discussion Papers/Reports


ii. P. Cullet, Groundwater Regulation in Uttar Pradesh – Beyond the 2010 Bill (ELRS Policy Paper 2/2012).


12. During the year under review, Ms. Manju Menon, Project Director (Namati-CPR Environmental Justice program), was involved in the following research and allied activities:

Policy Briefs/Discussion Papers/Reports

i. Can Coastal Governance be Delivered by CZMAs? A Study on Institutional Challenges to Coastal Zone Management Authorities (draft report)

ii. Community-led Ground Truthing of Environmental Compliance: Methods and Findings. (Authored by Mundra Hit Rakshak Manch (Forum for Protection of Rights in Mundra) with research support from Namati-CPR, March 2013)

Seminars/Conferences

i. Panel Discussion on Globalisation, Democracy and Environment, hosted by Association for India’s Development, Bay Area Chapter, USA

ii. Coorganised and participated in a meeting of the Environment Law Alliance Worldwide (E-Law) in Goa in November 2012
During the year under review, Shri B G Verghese, Visiting Fellow, was involved in the following research and allied activities:

**Policy Briefs / Discussion Paper/Reports**


ii. Katari Memorial Lecture, Navy Foundation: “India, Pakistan, China: Continental to Oceanic Challenges and Opportunities”

iii. Siachen Follies – Occasional Paper, CPR


v. Road to Reconciliation with Pakistan (“Pakistan’s Core Problem is a Lack of Identity”) In “Peace Prints”, WISCOMP’s South Asian Journal of Peace Building. Winter 2012

**Occasional Paper**

“The Fifth Schedule and Tribal Rights”

**Policy and Advisory Committees**

i. Chairman of an official committee that reported to Prasar Bharati on Reordering its Newscasts

ii. Member, World Bank Working Groups on the Indus, Ganges and Brahmaputra Basins

During the year under review, Prof. Ramaswamy Iyer, Honorary Research Professor, was involved in the following research and allied activities:

**Books**

Annual Report 2012-13

Chapters in edited volumes


ii. “Water and the Laws in India” for a book on the Constitutional History of India edited by Dr. Subhash Kashyap (under publication).

iii. “Water Policy and Science” for a book being edited by Dr. Rajeswari Raina (under publication)


Articles in National and International Peer-Reviewed Journals


Policy Briefs/Discussion Papers/Reports


ii. Draft National Water Framework Act, 28 Oct 2011 (as Chairman of a Sub-group set up by the Planning Commission-in preparation for the 12th Five Year Plan)

Articles in Non-refereed Publications/Periodicals


Meetings, Seminars, Conferences

i. Comments on the book *Churning the Earth* by Aseem Srivastava and Ashish Kothari as a Panelist in a Panel Discussion, 19 May 2012.

ii. Paper presented on Water issues at India-Pakistan Track II Dialogue at Islamabad under the auspices of the Centre for Dialogue and Reconciliation, Delhi, and Jinnah Institute, Islamabad, 3-5 June 2012.

iii. IIC Golden Jubilee Lecture, 27 September 2012, on ‘India, Her Neighbours, Water’.


vii. Address as Chief Guest at the National Institute of Ecology workshop, Haridwar, 6-11 December 2012.


ix. Organised and chaired a series of monthly lectures by a number of speakers on the theme of “Living Rivers, Dying Rivers” at the India International Centre, New Delhi.

Policy and Advisory Committees

i. Member, Planning Commission’s Steering Committee on Water

ii. Member, Planning Commission’s Working Group on Water Governance.

iii. Chairman of the sub-group on a draft National Water Framework Law.

iv. Member, UNSGAB High Level Expert Panel on Water and Disaster, an adjunct to the UN Secretary-General’s Advisory Board on Water and Sanitation.


15. During the year under review, Dr. B N Saxena, Honorary Professor, was involved in the following research and allied activities:

Conferences/Seminars

CENTRE FOR POLICY RESEARCH

ii. International Symposium of Multiple Preventive Technologies (MPTs) for Prevention of Unintended Pregnancies & Sexually Transmitted Infections-HIV/non HIV STIs RTIs, New Delhi December 2012


iv. International Symposium on Reproductive Health, Diczfalussy Foundation, ARD Romania October 2012

v. Microbicides Experts Group (MEG) of Department of Health Research (DHR) Govt of India / MoHFW & Indian Council of Medical Research (ICMR) New Delhi August 2012


Honours/Distinctions/Meritorious Awards

i. International Life Time Achievement Award for Scientific Contributions in Reproductive Health, Diczfalussy Foundation, Szeged, Hungary, November 2012


Policy and Advisory Committees

Chair, Experts Group of the Dept of Health Research (DHR & ICMR, Govt of India & Ministry of Health & FW for prevention of unintended pregnancies and HIV / non-HIV STIs / RTIs by Microbicidal Products and MPTs (Multiple Preventive Technologies), New Delhi

16. During the year under review, Dr. K P Garg, Consultant undertook the following activities for the Educational Testing and Personnel Policy Research Unit:

Developed Tests for Selection of AAOs in Actuaries, Marketing, Human Resources, Information Technology, Accounts, Law, Engineering, Library, Generalists for reputed General Insurance Company of Government of India. Tests were also
developed for selection of AAOs in Accounts, Computer, Law, Engineering, Actuaries and for Generalists for another General Insurance Company of India.

Tests were also developed for selection of Jr. Executive (ATC), Jr. Executive (Electronics), Jr. Executive (HR), Jr. Executive (Civil), Jr. Executive (Electrical), Jr. Executive (Security), Jr. Executive (PR), Jr. Executive (Fire), Jr. Executive (IT), Jr. Executive (Airport Operations), Manager (Corporate Affairs), Manager (Fire), Manager (IT), Manager (Airport Operations), Manager (Finance), Manager (HR), Manager (Law), Manager (Official Language), Manager (Architecture), Manager (PR), Manager (Eco. Planning), Manager (Technical), Manager (Commercial), Manager (Security) and Jr. Assistant (MT Driver) for a reputed organization of Government of India.

Admission Tests were also developed for two reputed educational institution
The Research Associates of CPR were involved in the following research and allied activities during the year 2012-13:

1. Geetanjali Chopra

Books

i. “INGOs as Peace Builders” (Forthcoming Ashgate, Publication date April 2014)

Articles in National and International Peer-reviewed Journals with Citation

i. “Peacebuilding: A Development Challenge” (International Studies, forthcoming edition)

Meetings/Seminars/Conferences


2. Kanhu Charan Pradhan

Policy Briefs/Discussion Papers/Reports

3. Malvika Maheshwari

Books


Chapters in Edited Volumes

i. “Abhinav Bharat, the Sangh Parivar and anti Muslim Violence: Resisting to and Emulating Islamist Terrorism” Co-authored with C.Jaffrelot in Saba Naqvi (ed.) Untitled. Forthcoming

Policy Briefs/Discussion Papers/Reports

i. “Agenc(y)ies for Democracy: National Akademies and the Administration of Arts in Independent India”

Articles in Newspapers/Non-refereed Publications/Periodicals

i. Walking Delhi’: Sam Miller, Delhi : Adventures in a Megacity, The Book Review, January 2012


iii. ‘Decentering the Nation in Writing History’ : Benjamin Zachariah, Playing the Nation Game : The Ambiguities of Nationalism in India, The Book Review, June 2012

iv. Importance of Art Education,’ The Book Review, September 2012


Meetings/Seminars/Conferences


4. Shibani Ghosh

Articles in Newspapers/Non-refereed Publications/Periodicals

i. “Too little information”, *Indian Express*, 9 August 2012

Meetings/Seminars/Conferences

i. “‘Green Growth’ and Institutional Arrangements in India”, presentation at the 2012 Policy Dialogue and Roundtable among Think Tanks of Emerging Economies - Road towards Equitable and Sustainable Development — Future of Emerging Economies, 3-5 November 2012, Haikou, China

ii. “The Central Information Commission – A Reluctant Leader?”, talk at the Centre for the Study of Law and Governance, Jawaharlal Nehru University, 18 January 2013
Web Based Publications


ii. “A Conversation about the Public Trust in India: Public Participation, Climate Adaptation, and India’s 2G Network”, e-mail based interview published at [http://www.progressivereform.org/CPRBlog.cfm?idBlog=612C3B8C-AB0E-C2F9-F3EE8EAC3404DAE9](http://www.progressivereform.org/CPRBlog.cfm?idBlog=612C3B8C-AB0E-C2F9-F3EE8EAC3404DAE9)
During the year 2012-13, 186 books were added to the library of the Centre. The acquisition mainly related to books of subjects such as Policy Sciences, Economic Policy, Urbanisation, Political Science, Futurology, Social Indicators, Foreign Policy, Defence and other fields of relevance to Research Programmes of the Centre.

The CPR library has a collection of a total of 13714 volumes. The library subscribed to 47 journals and received gratis 45 periodicals. These cover major policy fields of concern to scholars at CPR. In addition to these, 20 daily newspapers are being received in the library.

The library continues to be a member of the Developing Library Network (DELNET), New Delhi. One Samsung SCX 4521F multifunctional fax machine and email service are being used extensively for communication and information retrieval purposes. One HP Elite 8300 having latest configuration is being used by CPR faculty/researchers.

With the help of RICOH AFICIO MP 4000 B Digital Plain Paper Copier with Reverse Automatic Document Feeder, Duplexing for Automatic Back to Back copying, Set making, Sorting, Rotate sorting, 25%- 400% Zoom with A-3 Size Network Laser Printer and Scanner, and 40 GB Hard Disc for document server, better and efficient reprographic facilities were provided to researchers and other staff of the Centre.

For other material and publications, the CPR library depends upon the services of 20 libraries of various academic and other research institutions in Delhi which have been generous enough to lend their books and journals for the Centre’s use on the principle of reciprocity.
COMPUTER UNIT’S ACTIVITIES

During the year under report the following activities were undertaken by the Computer Centre.

1. Hardware procured
   a. To facilitate scanning of reflex answer sheets of ET&PPR unit, one opscan-8/50 OMR Scanner was purchased and installed.
   b. One Network attached storage (NAS-220) was installed to work as a Data Server for the Urban Group.
   c. Eight desktop computers of HP make.
   d. Two notebooks of different make and configuration.
   e. Five printers (four HP laserjet & one TVSE dot matrix printer)

2. Software programmes were developed/modified as per requirement and data processing (including scanning of 1,88,106 answer sheets) of pre and post examination work of fourteen recruitment/admission tests conducted by ET&PPR Unit was completed.

Maintenance and upgradation of Centre’s website, Network, Hardware and software were carried out as per requirement. System support services were provided for presentations related to seminars and conferences held at the centre during the year.
Educational Testing and Personnel Policy Research (ET & PPR) Unit

During the year 2012-13, the ET&PPR Unit handled the following projects:

i. On behalf of two Public Sector insurance companies, the Unit conducted the written examinations for recruitment to the officer cadres involving around 1,72,000 candidates. The results were finalized and handed over to them.

ii. On behalf of two reputed educational institutions, the Unit conducted the Entrance Tests for their Bachelor and PG Courses involving about 3,500 candidates. The results were finalized and handed over to them.

iii. Assisted the Government of India organization in their recruitment exercises of different cadres involving a little over 2,73,000 candidates.

The CPR received the following grants from the ICSSR during the financial year 2012-13 (in lakhs):

1. Recurring grant (Non Plan) Rs. 70,00,000/-
2. Recurring grant (Plan) Rs. 47,00,000/-
3. Additional Grant (Non-Plan) (Non-Recurring) Rs. 37,00,000/-

Total: Rs. 1,54,00,000/-

The CPR gross corpus fund now stands at Rs. 8.11 crore. The CPR’s gross expenditure (including capital expenditure and specific project research expenditure but excluding depreciation) during the year was Rs. 13.34 crore. The ICSSR recurring grant is 8.15% of CPR’s receipts of Rs.18.90 crore during the year.
ANNUAL REPORT 2012-13

INSTITUTIONAL AND
NON-INSTITUTIONAL DONORS

A number of agencies and Government departments as named below continued their support to the Centre during the year:

List of Project Grantors

1. IDRC, Canada
2. Innovations for Poverty Action, USA
3. MacArthur Foundation, USA
4. The New School, USA
5. Ford Foundation, USA
6. Economic & Social Research Council, UK
7. William & Flora Hewlett Foundation, USA
8. NAMATI Inc., USA
9. Ministry of Urban Development, Government of India
10. Bill & Melinda Gates Foundation, USA
11. The Asia Foundation, USA
12. Oak Foundation
13. Rockefeller Foundation, USA
14. M M Lal Charitable Foundation
15. Vishnu Charitable Trust
16. R S M Foundation Trust

Tax Exemption for Donations to CPR

CPR has been approved u/s 35(1)(iii) of the Income Tax Act 1961 w.e.f. April 1, 2005 which entitles the Donor under the present Income Tax Laws a weighted deduction @ 125% of the amount of donation. CPR has also been approved u/s 80G(5) (vi) of the Income Tax Act, 1961 for the period from 1.4.2011 onwards which entitles the donor 50% tax deduction of the net qualifying amount.
### CPR Faculty and Staff

**As on 31 March 2013**

#### FACULTY

**President & Chief Executive**

1. Pratap Bhanu Mehta  
   Ph.D. (Princeton University)  
   **Year of joining** August 2004

**Professors**

2. Brahma Chellaney  
   Ph.D. (Jawaharlal Nehru University)  
   **Year of joining** July 1993

3. Bharat Karnad  
   M.A. (University of California)  
   **Year of joining** April 1996

4. Bibek Debroy  
   M.Sc (Trinity College – University of Cambridge)  
   **Year of joining** March 2007

5. Lavanya Rajamani  
   D.Phil (University of Oxford)  
   **Year of joining** September 2006

**Associate Professors**

6. Nimmi Kurian  
   Ph.D. (Jawaharlal Nehru University)  
   **Associate Professor**

**Senior Fellows/Fellows/Senior Visiting Fellows**

7. Partha Mukhopadhyay  
   Ph.D. (New York University)  
   Senior Fellow  
   **Year of joining** February 2006
8. Shylashri Shankar  
   Ph.D. (Columbia University),  
   Senior Fellow  
   February 2006

9. Yamini Aiyar  
   Senior Fellow  
   May 2008

10. Srinath Raghavan  
    Senior Fellow  
    August 2009

11. Rajiv Kumar  
    Senior Fellow  
    January 2013

12. Ramesh Chandran  
    Senior Fellow  
    November 2012

13. Shubhagato Dasgupta  
    Senior Fellow  
    March 2013

14. Manju Menon  
    Project Director  
    June 2012

15. Jishnu Das  
    Ph.D. (Harvard University)  
    Sr. Visiting Fellow  
    January 2007

16. Navroz Dubash  
    Ph.D. (University of California, Berkeley)  
    Senior Fellow  
    July 2009

17. Shyam Saran  
    Senior Fellow  
    May 2010

18. Nicholas Linscott Robinson  
    Senior Fellow  
    January 2013

19. Michael Walton  
    Sr. Visiting Fellow  
    September 2007
20. Mr. Phillipe Cullet
   Sr. Visiting Fellow

21. Dr. Shyam Babu
   Sr. Visiting Fellow
   March 2012

22. Patrick Heller
   Senior Visiting Fellow
   August 2012

Professor Emeritus

23. Charan Wadhva
   Ph.D (Yale, USA)
   September 2005

Honorary Research/Visiting Professors

24. K.C. Sivaramakrishnan, IAS (Retd)
    M.A., BL (Law) (Madras)

25. Ramaswamy R. Iyer, IAAS (Retd)
    M.A (University of Bombay)

26. Subhash C Kashyap
    M.A., LLB., Ph.D. (Allahabad University)

27. Ved Marwah, IPS (Retd)

28. Ajit Mozoomdar, IAS (Retd)
    D.Phil (Oxon), Bar-at-Law

29. K R G Nair
    Ph. D. (Delhi School of Economics)
    University of Delhi

30. V.K. Nayar Lt. Gen. (Retd)
    M.Sc. (Defence Studies, University of Madras)

31. R. Rangachari
    B.E. (Hons), Anna University
32. B.N. Saxena  
   M.D (Lucknow University); F.A.M.S.

33. Sanjib Baruah  
   Ph.D.(University of Chicago)

34. Sanjoy Hazarika  
   Diploma in Journalism (London School of Journalism)

35. G. Parthasarathy  
   B.E. (University of Madras)

36. B.G. Verghese  
   BA (Hons.)  
   (Delhi and Cambridge Universities)

37. Kanta Prasad Garg  
   Consultant  
   Ph.D. (Jamia Milia Islamia)

Senior System Analyst and Associate Programme Officer (SSA&APO)

38. Ajay Nayyar  
   M.Sc., PGD in Computer Science  
   (Kurukshetra University)

Research Associates /Analysts /Coordinators

39. Arundhati Maiti  
   Research Associate

40. Kanhu Charan Pradhan  
   Research Associate

41. Avani Kapur  
   Research & Program Analyst

42. Shailey Tucker  
   Research Associate
43. Malvika Maheswari  
   Research Associate

44. Shibani Ghosh  
   Research Associate

45. Srinivas Chokkakula  
   Senior Research Associate

46. Ambrish Dongre  
   Sr. Researcher

47. Subhadra Banda  
   Research Associate

48. Sandeep Bhardwaj  
   Research Associate

49. Satya Prateek  
   Research Associate

50. R Seetharaman  
   Research Associate

51. Aishwarya Panicker  
   Research Analyst

52. Varsha Bhaik  
   Research Associate

53. Vibhu Tiwari  
   Research Analyst

54. Mehjabeen Jagmag  
   Research Analyst

55. Anu Jogesh  
   Research Associate
<table>
<thead>
<tr>
<th></th>
<th>Name</th>
<th>Position</th>
</tr>
</thead>
<tbody>
<tr>
<td>56</td>
<td>Pranav Sidhwani</td>
<td>Research Associate</td>
</tr>
<tr>
<td>57</td>
<td>Sanskriti Jain</td>
<td>Research Associate</td>
</tr>
<tr>
<td>58</td>
<td>Persis Taraporevala</td>
<td>Research Associate</td>
</tr>
<tr>
<td>59</td>
<td>Sukanya Natarajan</td>
<td>Research Associate</td>
</tr>
<tr>
<td>60</td>
<td>Stanzin Yumchen</td>
<td>Consultant</td>
</tr>
<tr>
<td>61</td>
<td>Shahana Sheikh</td>
<td>Research Associate</td>
</tr>
<tr>
<td>62</td>
<td>Geetanjali Chopra</td>
<td>Research Associate</td>
</tr>
<tr>
<td></td>
<td><strong>Research Assistants etc.</strong></td>
<td></td>
</tr>
<tr>
<td>64</td>
<td>Sama Khan</td>
<td>Technical Associate</td>
</tr>
<tr>
<td>65</td>
<td>Bhanu Joshi</td>
<td>Research Assistant</td>
</tr>
<tr>
<td>66</td>
<td>Bijendra Kumar Jha</td>
<td>Research Assistant</td>
</tr>
<tr>
<td>67</td>
<td>Ram Pravesh Shahi</td>
<td>Research Assistant (PT)</td>
</tr>
<tr>
<td>68</td>
<td>Sonam Gayatri Malhotra</td>
<td>Research Assistant</td>
</tr>
</tbody>
</table>
69. Vimal Kalavadiya  
Field Coordinator

**Administration, Accounts & Other Services**

1. L. Ravi B.Sc. (Nagarjuna University)  
   PGDIRPM (Bharatiya Vidya Bhawan)  
   HDNM (NIIT)  
   Chief, Administrative Services

2. Jagmohan Chander  
   B.Sc (University of Agra)  
   Administrative Officer(Admn. & Public Relations)

3. Pradeep Khanna  
   B.Com (University of Delhi)  
   Chief Accounts Officer

4. Vivek Bhargava  
   B.A. (University of Delhi)  
   Assistant Administrative Officer

5. M.C. Bhatt  
   B.Com (Kumaon University)  
   Accounts Officer

6. Ramesh Kumar  
   B.A. (University of Delhi)  
   Accounts Assistant

7. V.K. Tanwar  
   Assistant System Analyst and Assistant Programs (ASA&AP)  
   M.Sc. (Kurukshetra University)

8. Dinesh Chandra  
   Senior Supervisor

9. Shiv Charan  
   Senior Supervisor

10. Y.G.S. Chauhan  
    Certificate Course in Library Science  
    Assistant Librarian

11. Sunil Kumar  
    B.A. (Hons.) (University of Delhi)  
    PGDPR (Bharatiya Vidya Bhawan)  
    Associate to President
ANNUAL REPORT 2012-13

12. Pramod Kumar Malik
   B.A. (University of Delhi)
   Associate to President

13. Sonia Bhutani Gulati
   B.Com (University of Delhi)
   MDBA (University of Pune)
   B.LIS (Annamalai University)
   Public Relations Associate

14. Vinod Kumar
   M.A. (Meerut University)
   Deputy Supervisor

15. Sarala Gopinathan
   Secretarial Assistant

16. Sumit Choudhary
   Administrative Assistant
   (Project based)

17. Satnam Kaur
   Finance and Admn. Associate

18. Pranika Kaur Khurana
   Personal Secretary

Other Secretarial Staff

19. Ram Bahadur
20. Ranjit Singh
21. Poona Ram
22. Gajendra Sahu
# CENTRE FOR POLICY RESEARCH

## BALANCE SHEET AS AT 31ST MARCH, 2013

<table>
<thead>
<tr>
<th>FUNDS AND LIABILITIES</th>
<th>Sch</th>
<th>As on 31.3.2013</th>
<th>As on 31.3.2012</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CORPUS FUNDS</strong></td>
<td>1</td>
<td>8,03,82,405.10</td>
<td>7,53,82,405</td>
</tr>
<tr>
<td><strong>CAPITAL FUND (ASSETS)</strong></td>
<td>2</td>
<td>1,45,44,720.17</td>
<td>1,39,83,363</td>
</tr>
<tr>
<td><strong>CAPITAL RESERVE</strong></td>
<td></td>
<td>44,08,025.46</td>
<td>44,08,025</td>
</tr>
<tr>
<td><strong>ENDOWMENT FUND</strong></td>
<td></td>
<td>7,00,000.00</td>
<td>7,00,000</td>
</tr>
<tr>
<td><strong>RESERVE FOR CONTINGENCIES</strong></td>
<td></td>
<td>1,02,00,000.00</td>
<td>1,02,00,000</td>
</tr>
<tr>
<td><strong>UNSPENT BALANCES IN SPECIFIED PURPOSES/PROJECTS</strong></td>
<td>3</td>
<td>16,06,24,256.54</td>
<td>12,99,68,045</td>
</tr>
<tr>
<td><strong>GRANT - NATIONAL KNOWLEDGE COMMISSION</strong></td>
<td>4</td>
<td>9,09,713.02</td>
<td>8,33,727</td>
</tr>
<tr>
<td><strong>PROVISIONS</strong></td>
<td>5</td>
<td>1,62,51,076.00</td>
<td>68,55,092</td>
</tr>
<tr>
<td><strong>INCOME AND EXPENDITURE ACCOUNT</strong></td>
<td></td>
<td>1,39,04,033.03</td>
<td>86,82,169</td>
</tr>
<tr>
<td><strong>CURRENT LIABILITIES</strong></td>
<td>9</td>
<td>16,89,994.00</td>
<td>67,59,825</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
<td>30,36,14,223.32</td>
<td>25,77,72,651</td>
</tr>
</tbody>
</table>

## PROPERTY & ASSETS

<table>
<thead>
<tr>
<th>FIXED ASSETS</th>
<th>6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gross Block</td>
<td>2,91,67,193.31</td>
</tr>
<tr>
<td>Less: Accumulated Depreciation</td>
<td>2,18,63,002.38</td>
</tr>
<tr>
<td>Net Block</td>
<td>73,04,190.93</td>
</tr>
<tr>
<td>INVESTMENTS</td>
<td>7</td>
</tr>
<tr>
<td>(Including Corpus Fund Investments)</td>
<td></td>
</tr>
<tr>
<td>CURRENT ASSETS, LOANS AND ADVANCES:</td>
<td>8</td>
</tr>
<tr>
<td>Stock of Special Stationery</td>
<td>8a</td>
</tr>
<tr>
<td>Cash and Bank Balances</td>
<td>8b</td>
</tr>
<tr>
<td>Advances recoverable/ adjustable</td>
<td>8c</td>
</tr>
<tr>
<td>TOTAL</td>
<td>30,36,14,223.32</td>
</tr>
<tr>
<td><strong>Accounting policies and notes on account</strong></td>
<td>10</td>
</tr>
<tr>
<td><strong>As per our report of even date</strong></td>
<td>-</td>
</tr>
<tr>
<td><strong>(Pratap Bhanu Mehta)</strong></td>
<td>(0)</td>
</tr>
<tr>
<td><strong>(M.S. Balachandran)</strong></td>
<td></td>
</tr>
<tr>
<td><strong>(Pradeep Khanna)</strong></td>
<td></td>
</tr>
<tr>
<td><strong>(L Ravi)</strong></td>
<td></td>
</tr>
</tbody>
</table>

**For and on behalf of:**

**CENTRE FOR POLICY RESEARCH**

**Place:** New Delhi  
**Dated:** 11-09-2013  
**Chief Accounts Officer:** Pradeep Khanna  
**Chief - Administrative Services:** L Ravi
## CENTRE FOR POLICY RESEARCH
### INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR ENDED 31ST MARCH, 2013

<table>
<thead>
<tr>
<th></th>
<th>2012-13</th>
<th>2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Earnings</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Educational Testing &amp; Exam Contribution (Net)</td>
<td>1,88,11,331.00</td>
<td>81,98,294</td>
</tr>
<tr>
<td>Grant-in-Aid from ICSSR</td>
<td>1,54,00,000.00</td>
<td>53,00,000</td>
</tr>
<tr>
<td>Interest and Investment Income:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Endowment Investments</td>
<td>17,64,809.00</td>
<td>20,10,244</td>
</tr>
<tr>
<td>Dividend Income</td>
<td>93,019.74</td>
<td>46,510</td>
</tr>
<tr>
<td>Other Interest Income</td>
<td>39,98,471.00</td>
<td>58,56,299.74</td>
</tr>
<tr>
<td>Miscellaneous Income</td>
<td>1,67,839.00</td>
<td>32,102</td>
</tr>
<tr>
<td>Transfer from Grants</td>
<td>71,48,387.00</td>
<td>1,03,94,710</td>
</tr>
<tr>
<td>Rental receipts</td>
<td>9,10,458.00</td>
<td>4,24,398</td>
</tr>
<tr>
<td>Completed Projects - Balances written back (Net)</td>
<td>7,59,463.07</td>
<td>12,61,509</td>
</tr>
<tr>
<td>Profit on sale of assets</td>
<td>1,08,313.00</td>
<td>-</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>4,91,62,090.81</td>
<td>3,09,26,762</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>2012-13</th>
<th>2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Expenditure</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Salaries, Wages &amp; Benefits to Staff</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Salaries and wages</td>
<td>1,37,98,158.00</td>
<td>1,35,61,058</td>
</tr>
<tr>
<td>Contribution to Provident Fund</td>
<td>9,53,423.00</td>
<td>9,51,958</td>
</tr>
<tr>
<td>Contribution to Gratuity Fund (LIC)</td>
<td>10,44,436.00</td>
<td>10,00,000</td>
</tr>
<tr>
<td>Contribution to / payment of Leave</td>
<td>7,00,000.00</td>
<td>7,00,000</td>
</tr>
<tr>
<td>Encahment Benefits</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medical Insurance &amp; other Staff Welfare</td>
<td>3,93,709.00</td>
<td>1,68,89,726.00</td>
</tr>
<tr>
<td>Travel and conveyance</td>
<td>2,66,262.00</td>
<td>2,95,292</td>
</tr>
<tr>
<td>Rates and taxes</td>
<td>7,50,286.00</td>
<td>8,51,954</td>
</tr>
<tr>
<td>Printing, stationery, office supplies</td>
<td>1,24,854.00</td>
<td>1,16,522</td>
</tr>
<tr>
<td>Communication expenses</td>
<td>3,30,361.00</td>
<td>2,72,983</td>
</tr>
<tr>
<td>Electricity and water</td>
<td>3,52,587.00</td>
<td>3,55,637</td>
</tr>
<tr>
<td>Office maintenance and repairs</td>
<td>9,64,300.00</td>
<td>7,19,891</td>
</tr>
<tr>
<td>Hospitality and common courtesy</td>
<td>1,70,045.00</td>
<td>1,52,646</td>
</tr>
<tr>
<td>Insurance</td>
<td>28,701.00</td>
<td>27,728</td>
</tr>
<tr>
<td>Library books, newspapers and periodicals</td>
<td>3,43,949.00</td>
<td>2,52,845</td>
</tr>
<tr>
<td>Audit and professional fee</td>
<td>1,79,776.00</td>
<td>1,65,450</td>
</tr>
<tr>
<td>Miscellaneous expenses</td>
<td>57,108.00</td>
<td>80,432</td>
</tr>
<tr>
<td>Membership and subscriptions</td>
<td>22,472.00</td>
<td>44,870</td>
</tr>
<tr>
<td>Bank charges</td>
<td>11,628.00</td>
<td>11,717</td>
</tr>
<tr>
<td>Conference and Programmes</td>
<td>3,83,274.00</td>
<td>1,70,879</td>
</tr>
<tr>
<td>Advertisement</td>
<td>-</td>
<td>57,637</td>
</tr>
<tr>
<td>Publication</td>
<td>9,860.00</td>
<td>-</td>
</tr>
<tr>
<td>Vehicle maintenance</td>
<td>1,19,004.00</td>
<td>1,09,777</td>
</tr>
<tr>
<td>Legal and professional</td>
<td>44,350.00</td>
<td>94,120</td>
</tr>
<tr>
<td>I T support</td>
<td>22,774.00</td>
<td>29,544</td>
</tr>
<tr>
<td>Loss on sale/write off of assets</td>
<td>37,500.00</td>
<td>-</td>
</tr>
<tr>
<td>Depreciation</td>
<td>14,31,410.00</td>
<td>14,23,234</td>
</tr>
<tr>
<td><strong>Total C.O.</strong></td>
<td>2,25,40,227.00</td>
<td>2,17,24,518</td>
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</table>

86
<table>
<thead>
<tr>
<th>EXPENDITURE</th>
<th>2012-13</th>
<th>2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total B.F.</td>
<td>2,25,40,227.00</td>
<td>2,17,24,518</td>
</tr>
<tr>
<td>Provisions/ Appropriations:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CPR Building Repairs and Maintenance</td>
<td>1,00,00,000.00</td>
<td></td>
</tr>
<tr>
<td>Pay revision</td>
<td>64,00,000.00</td>
<td>52,00,000</td>
</tr>
<tr>
<td>Corpus Fund</td>
<td>50,00,000.00</td>
<td>2,14,00,000.00</td>
</tr>
<tr>
<td>Surplus for the year after appropriations</td>
<td>52,21,863.81</td>
<td>2,244</td>
</tr>
<tr>
<td>Surplus brought forward</td>
<td>86,82,169.22</td>
<td>86,79,925</td>
</tr>
<tr>
<td>Accumulated surplus carried to Balance sheet</td>
<td>1,39,04,033.03</td>
<td>86,82,169</td>
</tr>
</tbody>
</table>

For and on behalf of

CENTRE FOR POLICY RESEARCH

_AS PER OUR REPORT OF EVEN DATE_

FOR V. SANKAR AIYAR & CO.
CHARTERED ACCOUNTANTS
(Firm's Registration No. 109208W)

(PRATAP BHANU MEHTA)
PRESIDENT

(M.S. BALACHANDRAN)
PARTNER (M. No. 24282)

PLACE: NEW DELHI
DATED: 11-09-2013

(PRADEEP KHANNA)
CHIEF ACCOUNTS OFFICER

(L RAVI)
CHIEF - ADMINISTRATIVE SERVICES
## CENTRE FOR POLICY RESEARCH
### SCHEDULES TO STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 31ST MARCH, 2013

<table>
<thead>
<tr>
<th>PARTICULARS</th>
<th>As on 31.3.2013</th>
<th>As on 31.3.2012</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CORPUS</strong></td>
<td>Schedule - 1</td>
<td>Schedule - 1</td>
</tr>
<tr>
<td>As per last Balance Sheet</td>
<td>7,53,82,405.10</td>
<td>6,63,82,405</td>
</tr>
<tr>
<td>Add: Corpus received during the year</td>
<td>-</td>
<td>50,00,000</td>
</tr>
<tr>
<td>Add: Transfer from Income and Expenditure A/c</td>
<td>50,00,000.00</td>
<td>40,00,000</td>
</tr>
<tr>
<td>Total</td>
<td>8,03,82,405.10</td>
<td>7,53,82,405</td>
</tr>
</tbody>
</table>

| **CAPITAL FUND (ASSETS)**    | Schedule - 2    | Schedule - 2    |
| As per last Balance Sheet    | 1,39,83,363.17  | 1,31,08,708     |
| Add: Assets purchased out of Specific purposes/ Project Fund | 5,61,357.00  | 8,74,655        |
| Total                        | 1,45,44,720.17  | 1,39,83,363     |

| **NATIONAL KNOWLEDGE COMMISSION** |
| **BALANCE OF UNUTILISED GRANT**   |
| As per last Balance sheet         | 8,33,727.02     | 8,08,489        |
| Interest on Investments           | 76,098.00       | 25,238          |
|                                  | 9,09,825.02     | 8,33,727        |
| Less: amounts utilised            |                 |                 |
| Office expenses                   | 112.00          | -               |
| Total                             | 9,09,713.02     | 8,33,727        |
| Represented by:                   |                 |                 |
| Fixed Deposit with Canara Bank    | 8,82,872.00     | 8,08,238        |
| Canara Bank C/A NO 5827           | 11,010.02       | 11,122          |
| TDS Recoverable                   | 15,831.00       | 14,367          |
| Total                             | 9,09,713.02     | 8,33,727        |

<p>| <strong>PROVISIONS</strong>                  | Schedule - 5    | Schedule - 5    |
| Pay Revision:                   |                 |                 |
| As per last Balance Sheet       | 68,55,092.00    | 1,22,98,408     |
| Add: Transfer from Income and Expenditure A/c | 64,00,000.00  | 52,00,000       |
| Less: Paid during the year      | 70,04,016.00    | 62,51,076.00    |
| Provision for Repairs and Maintenance |                 | 1,06,43,316     |
| Transfer from Income and Expenditure A/c | 1,00,00,000.00  | -               |
| Total                           | 1,62,51,076.00  | 68,55,092       |</p>
<table>
<thead>
<tr>
<th>PARTICULARS</th>
<th>As on 31.3.2013</th>
<th>As on 31.3.2012</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>INVESTMENTS (At cost)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Including Corpus Fund Investments)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>GOI 8 % Savings (Taxable) Bonds</td>
<td>2,16,90,000.00</td>
<td>2,40,10,000.00</td>
</tr>
<tr>
<td>Fixed Deposits with Banks (including Rs.57,890,000 pledged with Canara Bank)</td>
<td>17,76,69,897.00</td>
<td>14,51,87,375.00</td>
</tr>
<tr>
<td>Fixed Deposits with HDFC Ltd</td>
<td>3,42,81,175.00</td>
<td>3,26,61,190.00</td>
</tr>
<tr>
<td>Fixed Deposits with PNB Housing Finance Ltd</td>
<td>3,43,13,859.00</td>
<td>1,29,20,000.00</td>
</tr>
<tr>
<td>Fixed Deposits with HUDCO</td>
<td>41,80,000.00</td>
<td>1,41,80,000.00</td>
</tr>
<tr>
<td>Units of UTI</td>
<td>20,96,890.00</td>
<td>20,96,890.00</td>
</tr>
<tr>
<td>Units of Canara Robeco Mutual Fund</td>
<td>5,00,000.00</td>
<td>5,00,000.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>27,47,31,821.00</td>
<td>23,15,55,455.00</td>
</tr>
<tr>
<td><strong>CURRENT ASSETS, LOANS AND ADVANCES:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>a) STOCK OF SPECIAL STATIONERY FOR PROJECTS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Chargeable against reimbursable fee)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>At cost (As certified by the Management)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Sub-total</strong></td>
<td>2,80,818.00</td>
<td>2,08,858.00</td>
</tr>
<tr>
<td><strong>b) CASH AND BANK BALANCES</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>In current Accounts with:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Canara Bank C/A NO-0157201000348 - F/C</td>
<td>63,67,818.12</td>
<td>36,16,382.00</td>
</tr>
<tr>
<td>Canara Bank - C/A NO-0157201004775</td>
<td>47,53,034.12</td>
<td>4,321.00</td>
</tr>
<tr>
<td>Canara Bank -C/A 0157201005222</td>
<td>5,439.00</td>
<td>5,439.00</td>
</tr>
<tr>
<td>Punjab National Bank - C/A 1736002100011174</td>
<td>4,61,190.00</td>
<td>1,17,244.00</td>
</tr>
<tr>
<td>Canara Bank - C/A 0157201005827 (NKC)</td>
<td>11,010.02</td>
<td>11,122.00</td>
</tr>
<tr>
<td>Cash in hand - FC</td>
<td>7,965.00</td>
<td>4,036.00</td>
</tr>
<tr>
<td>Cash in hand - Non-FC</td>
<td>3,882.00</td>
<td>11,847.00</td>
</tr>
<tr>
<td><strong>Sub-total</strong></td>
<td>1,16,10,338.26</td>
<td>37,59,537.00</td>
</tr>
<tr>
<td><strong>c) ADVANCES RECOVERABLE/ ADJUSTABLE</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Unsecured - considered good and recoverable)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Staff Imprest and Advances to others</td>
<td>5,95,459.00</td>
<td>48,44,694.00</td>
</tr>
<tr>
<td>Advance payments for CTAs and others</td>
<td>9,77,977.00</td>
<td>44,96,946.00</td>
</tr>
<tr>
<td>Security Deposits</td>
<td>64,745.00</td>
<td>44,745.00</td>
</tr>
<tr>
<td>Tax Deducted at Source</td>
<td>22,50,972.28</td>
<td>17,69,202.00</td>
</tr>
<tr>
<td>Debit balances in Specified Grants/ Projects</td>
<td>57,97,901.85</td>
<td>36,83,629.00</td>
</tr>
<tr>
<td>(excess spent in anticipation of Grant) (Refer Schedule -3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Sub-total</strong></td>
<td>96,87,055.13</td>
<td>1,48,39,216.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>2,15,78,211.39</td>
<td>1,86,07,812.00</td>
</tr>
<tr>
<td><strong>CURRENT LIABILITIES</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outstanding liabilities</td>
<td>6,58,921.00</td>
<td>21,57,437.00</td>
</tr>
<tr>
<td>Advance payment against examination</td>
<td>10,31,073.00</td>
<td>46,02,388.00</td>
</tr>
<tr>
<td>(Reimbursable fee)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>16,89,994.00</td>
<td>67,59,825.00</td>
</tr>
</tbody>
</table>
1 **BASIS OF ACCOUNTING**

The financial statements have been prepared under historical cost convention and going concern basis. For recognition of Income and Expenses, Centre for Policy Research follows cash basis of accounting.

The primary objects and activities of the Society are in the field of Research and education. The Society has not carried on any activity this year in the nature of commercial, industrial and business and consequently the Accounting Standards issued by the Institute of Chartered Accountants of India are not mandatory. However, the Standards are followed to the extent relevant and practical.

2 **FIXED ASSETS**

Fixed Assets are recorded at cost less depreciation. Fixed Assets purchased out of specific grants, an equal amount is are transferred to capital fund.

3 **DEPRECIATION**

Depreciation is charged on written down value method. In respect of additions, depreciation is charged for the full year. It is ignored on the deletion of assets.

4 **INVENTORY:** The stock of special stationery is valued at cost.

5 **INVESTMENTS:** Investments are valued at cost.

6 **EMPLOYEE BENEFITS**

The Centre makes regular contributions to duly constituted fund in respect of Provident fund and Gratuity. The fund has taken up policies under the Group Gratuity and Leave Encashment Schemes of LIC for meeting the liability. It is accounted for, when paid. The accruing liability for future payment is ascertained.

7 **FOREIGN CURRENCY TRANSACTIONS**

Foreign currency transactions are generally recorded at the exchange rate prevailing on the date of transaction.

8 **Income Tax:**

(i) CPR is registered u/s 12 A (a) of the Income Tax Act, 1961 bearing registration No.DLI (C) (1 682) dt. 15.04.1976.
(ii) The Permanent Account No. (PAN) allotted under the Act is AAATC0180H.
(iii) CPR is regular in filing the income tax returns, the last one filed being for the asst. year 2012–13 (relating to FY 2011–12). There are no demands in respect of income tax.
(iv) CPR is also approved u/s 80G of the Act covering the period A.Y. 2012-13 vide letter of the Office of Director of Income Tax (Exemption) dt. 15.09.2011.

9 CPR is registered under the Foreign Contribution (Regulation) Act, 1976 bearing Registration No. 231650007 and is regular in filing the annual return, the last one filed being for the financial year 2011-12.

10 The Planning Commission [as a nodal agency for providing services to the National Knowledge Commission (NKC)] issued an order dt. 3.10.2005, which provided for CPR to act as a secretariat of NKC. The Order further stated that CPR shall maintain a separate account of the expenses of the Secretariat, to be met out of the grant in aid to NKC and would furnish an audited statement of accounts to the Planning Commission. Accordingly, the grant and expenses thereon are reflected separately.

11 Claims against the society, not acknowledged as debts. Rs NIL
12 The Office of the Director General of Audit, Central Revenues, New Delhi, carried out inspection of the accounts of CPR for the period 2004-2005 to 2006-2007. In their observations they have expressed their view that as per the terms and conditions stipulated in the sanction letters for grant issued by the ICSSR, the CPR has received excess grant of Rs.110.75 lakhs during the years 2005-06 and 2006-07, which is refundable. CPR has not accepted the conclusion reached by the government audit. Their report is under examination.

13 Estimated amount of outstanding Capital Contracts - Rs. NIL

SIGNATURES TO SCHEDULES 1 TO 10

For and on behalf of

CENTRE FOR POLICY RESEARCH

FOR V. SANKAR AIYAR & CO.
CHARTERED ACCOUNTANTS
(Firm's Registration No. 109208W)

(M.S. BALACHANDRAN)
PARTNER (M.No. 24282)

(Pratap Bhanu Mehta)
PRESIDENT

(Pradeep Khanna)
CHIEF ACCOUNTS OFFICER

(L Ravi)
CHIEF - ADMINISTRATIVE SERVICES

PLACE: NEW DELHI
DATED: 11-09-2013
<table>
<thead>
<tr>
<th>S. No.</th>
<th>NAME OF SPONSOR AND PROJECT</th>
<th>Opening Balance (01.04.2012)</th>
<th>Receipts during the year</th>
<th>Interest/ Dividend</th>
<th>Transfer to Income and Exp A/c</th>
<th>Disbursements during the year</th>
<th>Completed projects written off/ back</th>
<th>Closing Balance (31.3.2013)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>DR.</td>
<td>CR.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>THE ASEA FOUNDATION - CREATING A COMPREHENSIVE INDIAN DEVELOPMENT COOPERATION DATABASE</td>
<td>-</td>
<td>-</td>
<td>13,72,800.00</td>
<td>5,96,485.00</td>
<td>-</td>
<td>7,76,315.00</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>BILL MILINDA GATES FOUNDATION - DEVELOP A SUSTAINABLE FRAMEWORK FOR SCALING UP SANITATION FACILITIES FOR THE URBAN POOR AND WOMEN IN INDIA</td>
<td>-</td>
<td>-</td>
<td>3,59,31,368.00</td>
<td>90,377.00</td>
<td>11,202.00</td>
<td>-</td>
<td>3,60,10,543.00</td>
</tr>
<tr>
<td>3</td>
<td>ECONOMIC RESEARCH FORUM - CAIRN-REIMBURSEMENT OF TRAVEL EXPENSES</td>
<td>-</td>
<td>-</td>
<td>1,42,753.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>THE FORD FOUNDATION CORPUS INCOME FOR TRACK II DIalogues</td>
<td>-</td>
<td>85,83,955.22</td>
<td>-</td>
<td>8,46,554.00</td>
<td>8,03,168.00</td>
<td>-</td>
<td>86,27,341.22</td>
</tr>
<tr>
<td>5</td>
<td>THE FORD FOUNDATION - CLIMATE CHALLENGES IN INDIA</td>
<td>-</td>
<td>91,673.51</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>INSTITUTE OF INTERNATIONAL EDUCATION- USA - WORKSHOP ON ACCOUNTABILITY</td>
<td>-</td>
<td>3,22,391.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>3,22,391.00</td>
</tr>
<tr>
<td>7</td>
<td>THE FORD FOUNDATION - FOREIGN CURRENCY CORPUS INCOME</td>
<td>-</td>
<td>30,97,753.02</td>
<td>-</td>
<td>5,23,887.00</td>
<td>-</td>
<td>-</td>
<td>27,89,264.02</td>
</tr>
<tr>
<td>8</td>
<td>FORD FOUNDATION - PERPETUITY CHAIR- SAINIC</td>
<td>-</td>
<td>58,93,570.78</td>
<td>-</td>
<td>43,26,313.00</td>
<td>-</td>
<td>-</td>
<td>76,95,363.78</td>
</tr>
<tr>
<td>9</td>
<td>IDEA SOCIAL INITIATIVE SWEDEN - COTTON FARMING STUDY</td>
<td>-</td>
<td>2,91,770.00</td>
<td>-</td>
<td>2,46,340.00</td>
<td>-</td>
<td>-</td>
<td>45,430.00</td>
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<tr>
<td>10</td>
<td>SEPHIS NETHERLANDS</td>
<td>-</td>
<td>7,84,827.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>7,84,827.00</td>
</tr>
<tr>
<td>11</td>
<td>KING'S COLLEGE- LONDON-UK- REIMBURSEMENT OF TRAVEL EXPENSES</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>32,749.00</td>
</tr>
<tr>
<td>12</td>
<td>NAMATI- ENVIRONMENTAL JUSTICE</td>
<td>-</td>
<td>52,58,848.00</td>
<td>65,62,500.00</td>
<td>6,03,789.00</td>
<td>6,18,080.00</td>
<td>51,26,445.00</td>
<td>65,80,612.00</td>
</tr>
<tr>
<td>13</td>
<td>AUSTRALIAN NATIONAL UNIVERSITY- AUSTRALIA SOCIAL SAFETY NETS IN INDIA</td>
<td>-</td>
<td>5,51,149.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>5,50,739.00</td>
</tr>
<tr>
<td>14</td>
<td>GOOGLE.ORG- URBAN LOCAL GOVERNANCE</td>
<td>-</td>
<td>1,80,64,043.78</td>
<td>-</td>
<td>17,19,801.00</td>
<td>-</td>
<td>9,51,902.00</td>
<td>1,88,31,942.78</td>
</tr>
<tr>
<td>15</td>
<td>CEIRAP BRAZIL- POLICY PROCESS IN INDIA Rights TO INFORMATION- SOCIAL AUDIT AND PARTICIPATORY IRRIGATION MANAGEMENT</td>
<td>-</td>
<td>5,85,754.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>5,26,202.00</td>
</tr>
<tr>
<td>16</td>
<td>THE FORD FOUNDATION - ACCOUNTABILITY INITIATIVE</td>
<td>-</td>
<td>7,96,416.00</td>
<td>38,57,000.00</td>
<td>94,608.00</td>
<td>5,11,536.00</td>
<td>37,33,351.00</td>
<td>5,03,173.00</td>
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<tr>
<td>17</td>
<td>CENTRE DE SCIENCES HUMAINES - THE POLITICS OF INDIA'S SPECIAL ECONOMIC ZONES</td>
<td>-</td>
<td>4,85,050.44</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>4,72,738.44</td>
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<tr>
<td>18</td>
<td>GOOGLE.ORG-ACCOUNTABILITY INSTITUTE IN INDIA</td>
<td>-</td>
<td>9,19,231.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>8,27,124.00</td>
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<tr>
<td>19</td>
<td>THE NEW SCHOOL UNIVERSITY- NEW YORK- INDIA CHINA INSTITUTE STUDY</td>
<td>-</td>
<td>20,92,299.00</td>
<td>38,53,225.00</td>
<td>91,293.00</td>
<td>-</td>
<td>40,54,447.00</td>
<td>19,82,370.00</td>
</tr>
<tr>
<td>20</td>
<td>INTERNATIONAL DEVELOPMENT RESEARCH CENTRE (IDRC)- CANADA REIMBURSEMENT OF TRAVEL EXPENSES</td>
<td>-</td>
<td>-</td>
<td>4,10,929.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>21</td>
<td>INTERNATIONAL DEVELOPMENT RESEARCH CENTRE (IDRC)-INNOVATION SYSTEMS FOR INCLUSIVE DEVELOPMENT- LESSONS FROM RURAL CHINA AND INDIA</td>
<td>-</td>
<td>65,20,570.00</td>
<td>6,60,701.01</td>
<td>34,005.00</td>
<td>5,24,585.00</td>
<td>62,90,184.00</td>
<td>4,00,507.05</td>
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<tr>
<td>22</td>
<td>THE NEW YORK UNIVERSITY- USA - TRAVEL REIMBURSEMENT</td>
<td>-</td>
<td>-</td>
<td>95,180.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>14,721.00</td>
</tr>
<tr>
<td>23</td>
<td>UNIVERSITY OF TORONTO- CANADA- WORKSHOP ON COLLABORATION FOR RESEARCH ON DEMOCRACY (CORD) 9-14 DECEMBER, 2012</td>
<td>-</td>
<td>-</td>
<td>1,05,066.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>1,05,066.00</td>
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<tr>
<td>24</td>
<td>SUSTAINABLE LIVELIHOODS FOUNDATION- SOUTH AFRICA- WORKSHOP ON REIMBURSEMENT OF RESEARCH ON DEMOCRACY (CORD), DEC. 2012</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>91,000.00</td>
</tr>
<tr>
<td>25</td>
<td>INSTITUTE FRANCOIS DE MONTECHERY - SUBREGIONAL URBANISATION IN INDIA- &quot;SUBURBAN&quot;</td>
<td>-</td>
<td>3,24,675.00</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>3,24,675.00</td>
</tr>
<tr>
<td>S. No.</td>
<td>NAME OF SPONSOR AND PROJECT</td>
<td>Opening Balance (01.04.2012)</td>
<td>Receipts during the year</td>
<td>Interest/ Dividend</td>
<td>Transfer to Income and Exp A/c</td>
<td>Disbursements during the year</td>
<td>Completed projects written off/ back</td>
<td>Closing Balance (31.03.2013)</td>
</tr>
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<td>26</td>
<td>INNOVATION FOR POVERTY ACTION: GLOBAL HEALTH PROGRAM TO ACCESS THE AVAILABILITY AND DELIVERY OF HEALTH SERVICES IN INDIA AND INDONESIA</td>
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<td>2,35,973.00</td>
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<td>8,17,293.00</td>
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<td>28</td>
<td>JOHN D. AND CATHARINE T. MAC ARTERY FOUNDATION: OBSTACLES TO A REVOLUTION: THE PROSPERITY OF REGIONAL CO-OP IN ASIA</td>
<td>-</td>
<td>2,37,89,706.00</td>
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<td>16,08,794.00</td>
<td>13,32,740.00</td>
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<td>ROCKEFELLER FOUNDATION - MEGACITIES STUDY</td>
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<td>-</td>
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<td>32</td>
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<td>91,14,790.00</td>
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<td>9,91,892.00</td>
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<td>9,22,898.00</td>
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<td>42,866.00</td>
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<td>WILLIAM &amp; FLORA HEWLETT FOUNDATION: ACCOUNTABILITY INITIATIVE- SAPIF IN INDIA (GRANT NO. 2012-794)</td>
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<td>1,39,148.00</td>
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<td>47</td>
<td>EDRC-CANADA-COMPLIANCE SOLIDARY MATERIAL ON INDIAN'S FOREIGN POLICY- AND INSTITUTIONS AND RELATIONS- MULTILATERAL RELATIONS- STUDY</td>
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<td>UNIVERSITY OF CALIFORNIA, BERKELEY-USA- THE TWENTY FIRST CENTURY INDIAN CITY WORKING TOWARDS BEING SLIM FREE</td>
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<td>Interest/ Dividend</td>
<td>Transfer to Income and Exp A/c</td>
<td>Disbursements during the year</td>
<td>Completed projects written off/ back</td>
<td>Closing Balance (31.3.2013)</td>
</tr>
<tr>
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<td>51</td>
<td>CENTRAL EUROPEAN UNIVERSITY, BUDapest- HUNGARY-THE FUTURE OF PUBLIC POLICY SCHOOLS IN THE 21ST CENTURY</td>
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<td>MINISTRY OF EXTERNAL AFFAIRES- BDM 10TH DIALOGUE 18-19 FEB 12 AND BDM 11TH DIALOGUE 23-24 FEB 2013</td>
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<td>ASIAN DEVELOPMENT BANK- DEVELOPING A FRAMEWORK AND ANALYSIS OF THE IMPLEMENTATION OF INTEGRATED URBAN WATER AND SANITATION PROJECTS IN INDIA</td>
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<td>UNDP-UNESCO TO REVIEW AND IDENTIFY GOOD PRACTICES IN INDIA'S LEGISLATION- ETC</td>
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**TOTAL**  
36,83,629.10  12,99,68,044.72  13,17,38,705.14  1,64,44,184.00  75,48,387.00  11,13,33,100.00  7,59,463.07  57,97,901.85  16,06,24,256.54

**NOTE:** ☀ TRANSFERRED TO ENDOWMENT INTEREST INCOME A/C
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<th>COST</th>
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<td>Additions</td>
<td>Deletions</td>
<td>As on 31.3.2013</td>
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<td>-</td>
<td>56,789.18</td>
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<td>OFFICE MACHINERY</td>
<td>40%</td>
<td>83,16,448.22</td>
<td>3,54,155.00</td>
<td>7,28,560.00</td>
<td>79,42,043.22</td>
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<td>LIFT</td>
<td>15%</td>
<td>4,84,605.58</td>
<td>-</td>
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<td>4,84,605.58</td>
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<tr>
<td>FIRE FIGHTING EQUIPMENT</td>
<td>15%</td>
<td>14,64,694.00</td>
<td>-</td>
<td>-</td>
<td>14,64,694.00</td>
</tr>
<tr>
<td>OPTICAL MARK SCANNER</td>
<td>40%</td>
<td>14,50,000.00</td>
<td>7,40,000.00</td>
<td>14,50,000.00</td>
<td>7,40,000.00</td>
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<tr>
<td>INTANGIBLE ASSETS</td>
<td>25%</td>
<td>96,844.00</td>
<td>51,012.00</td>
<td>-</td>
<td>1,47,856.00</td>
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<td>TOTAL</td>
<td></td>
<td>2,97,524.31</td>
<td>15,97,629.00</td>
<td>22,27,960.00</td>
<td>2,91,67,193.31</td>
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<td>PREVIOUS YEAR</td>
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<td>2,83,95,034.31</td>
<td>14,02,490.00</td>
<td>-</td>
<td>2,97,524.31</td>
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